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1 **Long-term trends in corn yields and soil carbon under diversified crop rotations**

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9 **Abbreviation list:** SOC, soil organic carbon; CC, continuous corn; CCSS, corn-corn-soybean-
10 soybean; CCSW, corn-corn-soybean-winter wheat; CCSW+Rc, corn-corn-soybean-winter wheat
11 + red clover; CCAA, corn-corn-alfalfa-alfalfa; COAA, corn-oats-alfalfa-alfalfa; RCP,
12 representative concentration pathways.

13 **Keywords:** Corn yield, Diversified crop rotation, DNDC model, Historical and future trends in
14 soil organic carbon

15 **Core ideas:**

- 16 • Corn grown in rotation had higher yield than grown in monoculture
- 17 • Improvements in the DNDC model captured the yield increases in diversified rotations
- 18 • Diversified rotations had higher SOC stock than corn in monoculture
- 19 • DNDC-predicted and observed values agreed well for yield and soil carbon
- 20 • Benefits from diversified rotations were predicted by DNDC for future scenarios

21 **Abstract**

22 Agricultural practices such as including perennial alfalfa, winter wheat, or red clover in corn
23 rotations can provide higher crop yields and increase soil organic carbon (SOC) over time. How
24 well process-based biogeochemical models such as DNDC capture the beneficial effects of
25 diversified cropping systems is unclear. To calibrate and validate DNDC for simulation of
26 observed trends in corn yield and SOC we used long-term trials: continuous corn (CC) and corn-
27 oats-alfalfa-alfalfa (COAA) for Woodslee, ON, 1959-2015; continuous corn (CC), corn-corn-
28 soybean-soybean (CCSS), corn-corn-soybean-winter wheat (CCSW), corn-corn-soybean-winter
29 wheat + red clover (CCSW + Rc) and corn-corn-alfalfa-alfalfa (CCAA) for Elora, ON, 1981-
30 2015. Yield and SOC under 21st century conditions were projected under future climate scenarios
31 from 2016 to 2100. The DNDC model was calibrated to improve crop nitrogen stress and was
32 revised to estimate changes in water availability as a function of soil properties. This improved
33 yield estimates for diversified rotations at Elora (mean absolute prediction error, MAPE,
34 decreased from 13.4-15.5% to 10.9-14.6%) with lower errors for the 3 most diverse rotations.
35 Significant improvements in yield estimates were also simulated at Woodslee for COAA with
36 MAPE decreasing from 24.0 to 16.6%. Predicted and observed SOC were in agreement for
37 simpler rotations (CC or CCSS) at both sites (53.8 and 53.3 Mg C ha⁻¹ for Elora; 52.0 and 51.4
38 Mg C ha⁻¹ for Woodslee). Predicted SOC increased due to rotation diversification and was close
39 to observed values (58.4 and 59 Mg C ha⁻¹ for Elora; 63 and 61.1 Mg C ha⁻¹ for Woodslee).
40 Under future climate scenarios the diversified rotations mitigated crop water stress resulting in
41 trends of higher yields and SOC content in comparison to simpler rotations.

42

43

44 **Introduction**

45 In contemporary agriculture, crops grown in rotation are often displaced by crops grown in
46 monocultures (Meyer-Aurich et al., 2006a; Liebman et al., 2013; Gaudin et al., 2015). This trend
47 is worrisome as long-term monoculture can lead to nutrient depletion and degradation of soil
48 quality (Karlen et al., 2013). Practices such as including perennial alfalfa, winter wheat, or red
49 clover in corn crop rotations have been shown to increase soil organic carbon (SOC), and to
50 produce higher and more stable crop yields over time (Meyer-Aurich et al., 2006a; Drury et al.,
51 2008; Gaudin et al., 2013). Indeed, the positive influence of diversified rotations on crop
52 productivity and soil health has been demonstrated with long-term trials (>20 years) (Congreves
53 et al., 2015). However, long-term field experiments represent limited sets of climate, soil and
54 crop management conditions. Accurate prediction of crop yields, nutrient dynamics, and SOC
55 sequestration in various conditions, including future climate scenarios can be achieved by
56 implementation of biogeochemical models, provided proper evaluation is carried out using
57 results from long-term trials (Grant et al., 2016).

58 The DNDC biogeochemical model by Li et al. (2000) is a widely-recognized tool with a
59 large spectrum of simulated outputs. The DNDC model has been tested for its ability to predict
60 soil temperature, soil water content, soil nitrogen (N) content, and nitrous oxide (N₂O) emissions
61 at experimental sites in eastern and western Canada (Smith et al., 2002, 2008). It has also been
62 employed in multi-model comparisons for crop production and N₂O emissions (Ehrhardt et al.,
63 2017) and carbon (C) dynamics (Smith et al., 2012, Grant et al., 2016) along with assessing the
64 effects of climate change on crop production (Smith et al., 2013, He et al., 2017). These
65 investigations have prompted the development of improved model processes for the Canadian
66 version of DNDC (DNDC v.CAN) including crop biomass growth (Kröbel et al., 2011),

67 evapotranspiration (Dutta et al., 2016a), soil temperature (Dutta et al., 2017), ammonia
68 volatilization after slurry application (Congreves et al., 2016) and ammonia emissions after urea
69 application (Dutta et al., 2016b). Although the DNDC model has been employed under a wide
70 range of cropping systems in Canada (Abalos et al., 2013, Kröbel et al., 2011, Smith et al., 2012,
71 2013) and elsewhere (Ehrhardt et al., 2017; Brilli et al., 2107; Zhang and Niu, 2016), it has not
72 been utilized to specifically assess the effect of crop rotation diversity on corn yields and SOC
73 under historical climate or future climate change.

74 Future atmospheric conditions will be characterized by increased variability in
75 temperature and precipitation and elevated atmospheric carbon dioxide (CO₂) concentration
76 (IPCC, 2007). Current evidence of more stable crop yields over time in diversified crop rotations
77 (Gaudin et al., 2013) and increased SOC (Meyer-Aurich et al., 2006b; Drury et al., 2008)
78 suggests that these systems may be more suitable for future conditions than monocultures. IPCC
79 (2014) elaborated four representative concentration pathways (RCP) scenarios that can be used
80 for assessing future predictions. The scenarios include a stringent mitigation scenario (RCP2.6),
81 two intermediate scenarios (RCP4.5 and RCP6.0) and one scenario with high greenhouse gas
82 emissions (RCP 8.5) based upon radiative forcing levels of 2.6, 4.5, 6.0 and 8.5 W m⁻² by the end
83 of the 21st century, respectively (Van Vuuren et al., 2011; IPCC, 2014). He et al (2017)
84 considered two contrasting scenarios: the intermediate RCP4.5 and high RCP8.5 as appropriate
85 21st century pathways for predicting crop yields and soil greenhouse gas emissions. No study to
86 date has assessed future corn yield and SOC trends under these contrasting scenarios.

87 The objectives of this study were to: (1) evaluate DNDC model predictions of corn yield
88 trends in monoculture and in diversified rotations over time at two locations, and modify the
89 model to provide improved yield estimates in diversified rotations; (2) assess DNDC SOC

90 predictions for corn monoculture and diversified rotations for two long-term field trials on
91 contrasting soil; (3) implement the improved DNDC model to predict corn yield and SOC
92 dynamics in various cropping systems over the 21st century under future scenarios: RCP4.5 and
93 RCP8.5.

94

95 **Materials and Methods**

96 *Site Description*

97 Data from two long-term crop rotation trials with contrasting soil types located in Elora (35
98 years) and Woodslee (57 years), Ontario were selected for this study. The Elora trial was
99 established in 1981 at the Elora Research Station (43°39'N, 80°25'W, elevation 376 m) on a
100 Typic Hapludalf (Gray Brown Luvisol) silt loam soil (270, 560 and 170 g kg⁻¹ of sand, silt and
101 clay, respectively), with pH of 7.3 and SOC of 22 g kg⁻¹ (Ramnarine et al., 2014). The Woodslee
102 trial was established in 1959 at the Agricultural and Agri-Food Canada (AAFC) Experimental
103 Farm (42°13'N, 82°44'W, elevation 186 m) on poorly drained Brookston clay loam, with 280,
104 350 and 370 g kg⁻¹ of sand, silt and clay, respectively, and pH of 6.1 and SOC of 25 g kg⁻¹
105 (Drury et al., 1998; Tan et al., 2002). A complete description of sites and experiments is given in
106 Gaudin et al. (2015) for Elora and Drury and Tan (1995) for Woodslee. Climate characteristics
107 are shown in Table S2 and Fig S1.

108

109 *Cropping Systems*

110 Five cropping systems were selected from the Elora trial: continuous corn (*Zea mays* L.)
111 monoculture (CC), corn-corn-soybean-soybean (*Glycine max* L.) (CCSS), corn-corn-soybean-

112 winter wheat (*Triticum aestivum* L.) (CCSW), corn-corn-soybean-winter wheat with red clover
113 (*Trifolium pratense* L.) as a cover crop inter-seeded under wheat (CCSW+Rc) and corn-corn-
114 alfalfa-alfalfa (*Medicago sativa* L.) (CCAA). For Woodslee, two cropping systems were
115 studied: corn monoculture and corn-oats (*Avena sativa*)-alfalfa-alfalfa. Both sites were managed
116 with conventional tillage which consisted of fall moldboard plowing and disking in spring before
117 planting annual crops and first-year alfalfa (Table S1). Nitrogen fertilizer was applied as starter
118 at planting and as side-dress at the corn 6th leaf stage (Table S1). Details on other agronomic
119 practices are given in Gaudin et al. (2015) for Elora and in Drury and Tan (1995) for Woodslee.

120

121 *DNDC Model Description*

122 The DNDC model framework includes processes for soil, climate, crop production, C and N
123 dynamics, and trace gas emissions reported at a daily time step. Model inputs are setup based on
124 readily available measurements to characterize soil characteristics (bulk density, texture, soil
125 hydraulic parameters, SOC), climate (air temperature, precipitation, wind speed, solar radiation,
126 humidity), crop production (crop type, potential yield, biomass fractions, C:N ratio, water
127 demand, optimal temperature) and agricultural management (tillage, residue, irrigation,
128 plant/harvest dates, fertilizer).

129 Crop production is regulated using a simple optimal C growth function driven by temperature
130 degree days. The optimal production is reduced by N and water availability, temperature stress
131 based on a cardinal approach developed in Canada (Yan and Hunt, 1999) and heat stress during
132 anthesis (Smith et al., 2013). The model was updated to include information from Free-Air CO₂
133 Enrichment studies regarding the effects of CO₂ on C assimilation in plants, crop water use

134 efficiency, and crop N use (Smith et al., 2013). Crop C inputs and C dynamics are managed by
135 the C decomposition sub-model which is characterized by four major pools (litter, labile humus,
136 passive humus and microbial biomass). Each pool utilizes a predefined base decomposition rate
137 that is modified as a function of soil texture, moisture, temperature and N content. A more
138 detailed description of the model's mechanisms and framework design can be found in Li et al.
139 (2012) and Grant et al. (2016).

140

141 *Input Datasets*

142 Long-term daily temperature, precipitation, solar radiation, wind speed and relative humidity
143 data were obtained from Environment Canada (2017), Elora Research Meteorological Station
144 and from the Harrow Experimental Farm AAFC records. The two sites had slightly different
145 climatic conditions with Elora over 1981-2015 being slightly cooler (growing degree days 1009
146 vs. 1405) and wetter (annual precipitation 1009 vs. 844 mm) than Woodslee over 1959-2015
147 (Table S2). Inputs of soil physical properties, planting, fertilization, and tillage operations were
148 obtained from Gaudin et al. (2015) for Elora and from Drury et al. (1995) for Woodslee (Table
149 S1).

150

151 *Model Calibration and Development for Diverse Crop Rotations*

152 The model simulations were parameterized to ensure that appropriate C residue inputs from corn,
153 winter wheat, soybean and alfalfa were being returned to the soil. Initial calibration of crop
154 parameters (biomass fractions) were leveraged from Janzen et al. (2003) and Bolinder et al.
155 (2007) with some slight adjustment to get the best calibration fit for yields. Crop N uptake and

156 removal was managed through adjustment of crop biomass C:N ratios to ensure that the soil N
157 status would result in a slight N stress under the continuous corn simulations. Yield parameter
158 calibration was conducted using the CCSW rotation at Elora and the first 10 years of the CC
159 rotation at Woodslee (Tables1 and S3). No other calibration was conducted.

160 It has been reported that under diverse crop rotations certain soil properties may be
161 impacted including, soil pore space, bulk density and biopore volume all of which could
162 influence soil water availability (Bolton et al., 1982; Drury and Tan, 1995; Munkholm et al.,
163 2013; Gaudin et al., 2015). To account for these effects, we incorporated a pedo-transfer function
164 to recalculate changes in soil properties based on changes in SOC (Saxton and Rawls, 2006).
165 These changes in soil properties were applied as relative differences to ensure that initial
166 measured bulk densities and soil hydraulic properties could be utilized. Observed crop yields
167 were compared to simulated values using the standard DNDC model (DNDC ‘before’) and after
168 the revision to account for increased N stress under continuous corn using slightly lower C:N
169 ratio (grain C:N adjusted from 35 to 30) and the improved water availability through the use of
170 the pedo-transfer function (DNDC ‘after’).

171 To ensure that each of the cropping systems had similar N stress levels under future
172 climate we also developed a new “Farm Agent” algorithm to manage future crop N stress. Using
173 a 10-year running average of the crop N stress index (N uptake/N demand) the farm agent would
174 apply up to 10% change in fertilizer annually to ensure that the climate induced impacts of
175 improved growth potential, increased N leaching, higher N mineralization and trace gas losses
176 did not induce high crop N stress. This generally resulted in higher N fertilizer rates being
177 applied under future conditions.

178

179 *Model Evaluation*

180 DNDC performance was validated by comparing observed and simulated corn yield for 35 years
181 at Elora and 57 years at Woodslee. For SOC, in years with accessible data, the comparison
182 between measured and simulated C concentration and C stock in the soil profile was completed.
183 Observed SOC concentration and stock were utilized from published data for the top 0-0.20 m or
184 for 0-0.50 m depending on data availability (Table S4). A record of SOC measurements was
185 available for selected rotations and from different sources for Elora. Data from 1995 were
186 available from Wanniarachchi et al. (1999); for 2005 from Yang et al. (2008). Data for 1998,
187 2000 and 2015 were derived directly from experimental documentation (Deen, 2017).
188 Depending on the rotation, SOC concentration measurements were available in the following
189 years: for CC in 1995, 1998, 2000, 2005; for CCSS and CCSW in 1998, 2000 and 2015; for
190 CCSW+Rc in 1998 and 2000; and for CCAA in 2000 and 2015 (Table S1). For Woodslee, SOC
191 measurements included years 1994, 2002 and 2004-2007 (Drury et. al., 1998; Drury et al., 2004;
192 Reynolds et al., 2014).

193 SOC stock was computed for each soil layer i sampled by a soil core segment (SOC_i , Mg
194 ha^{-1}) according to Ellert et al. (2008):

195

$$196 \quad SOC_i = \rho_i C_i L_i \times 10 \quad (1)$$

197

198 where ρ_i is the bulk density of the soil layer ($Mg\ m^{-3}$), C_i is the organic carbon concentration of
199 the soil layer ($mg\ C\ g^{-1}$ dry soil), L_i is the length of soil layer (m) and 10 is a unit conversion
200 factor. The SOC stock was computed for 0-0.2 m and 0.2-0.5 m soil layers depending on data
201 availability. Total SOC stock for the soil was calculated by adding up all SOC for each soil layer

202 in the profile. When the density of a particular core segment was not reported, the Saxton soil
 203 water characteristic calculator was employed with soil texture and SOC concentration as input
 204 data (Saxton and Rawls, 2009).

205 Agreement between observed and predicted corn yield values was evaluated using the
 206 following statistical evaluators: mean absolute percentage error (MAPE, %), root mean squared
 207 error (RMSE), the Nash–Sutcliffe model efficiency (EF) (Nash and Sutcliffe, 1970) and the
 208 coefficient of determination (R^2), each defined as follows:

$$209 \quad MAPE = \frac{100}{n} \sum_{t=1}^n \left| \frac{o_t - p_t}{o_t} \right| \quad (2)$$

210 Where t is time step (year), o_t is the observed value of the quantity being predicted, p_t is the
 211 predicted value, and n is the number of years with available data;

$$212 \quad RMSE = [n^{-1} \sum_{t=1}^n (p_t - o_t)^2]^{0.5} \quad (3)$$

$$213 \quad EF = \frac{\sum_{t=1}^n (o_t - \bar{o})^2 - \sum_{t=1}^n (p_t - o_t)^2}{\sum_{t=1}^n (o_t - \bar{o})^2} \quad (4)$$

214 and \bar{o} is the mean of the observed data. Model efficiency $EF=1$ indicates full agreement between
 215 the predicted and observed data, whereas $EF < 0$ indicates that predicted values are worse than
 216 the observed mean (\bar{o}).

217 Statistical comparisons of SOC concentrations could not be conducted due to lack of
 218 treatment replication both of measured and simulated values; however, the standard error of the
 219 mean was used to infer if predicted SOC concentration means were different between cropping
 220 systems (Reynolds et al. 2014).

221

222 *Future Scenarios*

223 The variations in yield and SOC stock over time were simulated by DNDC for the historical
224 climate during the trial period (1981-2015 in Elora and 1959-2015 in Woodslee) and for future
225 climate scenarios over the 21st century (2016-2100). Two scenarios were selected from the four
226 simulated RCPs: RCP4.5 which represents a medium stabilization scenario and RCP8.5 which
227 represents a very high baseline emission scenario. Temperature, precipitation and atmospheric
228 CO₂ concentration for these climate scenarios are listed in Table S5.

229 To evaluate trends in corn yield and SOC stock over the 21st century with RCP4.5 and
230 RCP8.5 scenarios, a linear regression analysis with time as an independent factor was used
231 (Sellers and Zenter, 1993). Significance of the difference between two slopes (*t value*) was
232 calculated using:

$$233 \quad t = \frac{b_1 - b_2}{\sqrt{s_{b_1}^2 + s_{b_2}^2}}, df = n_1 + n_2 - 4 \quad (5)$$

234 where b_1 and b_2 are the slopes of regressions 1 and 2, and s_{b_1} and s_{b_2} are the standard errors for
235 these slopes, df is degrees of freedom based on n_1 and n_2 which are the sample sizes for
236 regression 1 and 2 (Soper, 2017).

237

238 **Results and Discussion**

239 *Long-term yield variation*

240 Variation in yield over time both in Elora and Woodslee was high. In Elora over 35 years, the
241 differences between the lowest and peak corn yield varied depending on cropping system from
242 2.3 times in CC (5.04 Mg ha⁻¹ in 1992 vs 11.74 Mg ha⁻¹ in 2013 with a mean = 8.57 and σ = 1.69

243 Mg ha⁻¹) to 2.9 times in CCAA (4.85 in 1992 vs 13.96 in 2008 with a mean = 9.21 and σ = 1.86
244 Mg ha⁻¹) (Figure 1, Table 1). Expressed as coefficient of variation (CV) the variability in yield
245 was similar for these two cropping systems (CC and CCAA) at ~20%. In Woodslee, annual corn
246 yield experienced greater amplitude than in Elora. For corn yield in CC over 57 years, the
247 observed maximum yield was 8.5 times greater than the lowest (9.82 in 1992 vs 1.15 Mg ha⁻¹ in
248 1999 with an average of 5.99 and σ = 1.74 Mg ha⁻¹) (Figure 2, Table 1). The COAA cropping
249 system markedly increased both minimum and maximum corn yield with peak yield only 3.4
250 times higher than the minimum (12.67 Mg ha⁻¹ in 1992 vs 3.70 Mg ha⁻¹ in 1991 with an average
251 of 8.22 and σ = 2.00 Mg ha⁻¹) (Figure 2, Table 1). The CV for yield over 57 years was 29% for
252 CC and 24.3% for COAA, highlighting the more stable yields over time for the diversified
253 rotation at this site.

254

255 *Comparison between observed and DNDC-predicted corn yield in monoculture and in*
256 *diversified crop rotations*

257 Changes to DNDC enhanced agreement between observed and predicted results at Elora for
258 CCSS, CCSW, CCSW+Rc and CCAA rotations, expressed in lower deviation (RMSE and
259 MAPE), greater R^2 and better model efficiency (EF) (Table 1). In Woodslee, model revision
260 (DNDC ‘after’) was captured the significant improvement in yield over time observed for corn
261 grown in rotation with oats and alfalfa (COAA) while DNDC ‘before’ did not predict this
262 increase in yield over time (Fig. 2b). Consequently, RMSE and MAPE were reduced, R^2 became
263 more significant (0.38) and model efficiency increase from negative to 0.21 (Table 1). Results
264 for CC (R^2 = 0.40 and EF 0.35, Table 1) were better than previously reported for Woodslee by
265 Liu et al. (2010) (R^2 = 0.36 and EF = -0.70) with the DSSAT-CERES-Maize model. Simulations

266 over shorter time periods (4-6 years) have shown better agreement with observed yield for the
267 Woodslee site (Liu et al. 2014; He et al. 2017). However, our RMSE values are slightly better
268 than obtained with the crop model BioStar in Germany (2.1 Mg ha⁻¹) for corn yield (Bauböck,
269 2014). Large year to year variation in yield due to weather variability and potentially other
270 factors, such as disease and pest incidence, over the long duration of the simulation period
271 provided the most challenging aspect in good yield prediction performed by DNDC.

272

273 *Comparison between observed and DNDC-predicted soil organic carbon*

274 Distribution of predicted SOC concentration in the soil profile was evaluated for CC at both sites
275 and COAA at Woodslee, as profile data was not available for the other cropping systems.

276 Although DNDC predicted higher than measured SOC concentration for 0.20 to 0.40 m depth at
277 both sites (Fig. S2), SOC stock in the 0-0.5 m depth agreed well between measured and predicted
278 values in 1998, 2000, 2005 and 2015, with 17% lower than predicted values for Elora CC (Fig.
279 S3). At Woodslee, the 0-0.50 m carbon stock predicted by DNDC was very close to observed
280 values for CC and COAA (Fig S3). Measured and DNDC-predicted 0-0.5 m SOC stock for CC
281 at Elora over all available years agreed well at 82.1 Mg C ha⁻¹ ($\sigma = 5.97$ Mg C ha⁻¹) and 84.0 Mg
282 C ha⁻¹ ($\sigma = 0.68$ Mg C ha⁻¹), respectively. For Woodslee, mean observed 0-0.5 m SOC stock was
283 90.5 vs predicted of 88.7 Mg C ha⁻¹ for CC, and 105.1 observed vs 105.5 Mg C ha⁻¹ predicted for
284 COAA. Smith et al. (2012) simulated SOC change in residue removal experiments for 14 sites
285 (11 in Western Canada, 1 in Guelph, ON, and 2 in the mid-western USA). They observed DNDC
286 slightly underestimated changes in SOC between cropping systems but differences in relation to
287 observations remained within the 95% confidence limits, demonstrating the ability of DNDC in
288 predicting reasonable SOC stock values.

289 Increased SOC stocks after long-term diversified crop rotations were predicted well by
290 the DNDC model at both sites (Table 2). At Elora, observed and predicted 0-0.2 m SOC stocks
291 for simpler rotations (CC and CCSS) averaged 53.8 and 53.3 Mg C ha⁻¹, while diversified
292 rotations (CCSW, CCSW+Rc and CCAA) were 58.4 and 59 Mg C ha⁻¹, respectively (Table 2).
293 The largest discrepancy between observed and modelled SOC stock was observed for CCAA
294 with slightly higher observed (4%) than predicted value (58.26 vs 55.81 Mg C ha⁻¹, Table 2).
295 For Woodslee, predicted and measured 0-0.2 m SOC stocks were very similar (Table 2), and
296 both showed increased SOC for the diversified rotation COAA in comparison to CC (Table 2).
297 The modelling results agree with previous observations at the Woodslee site showing higher
298 SOC stock in COAA than in CC (Drury et al., 1998; Drury et al., 2004; Reynolds et al., 2014).
299 Reynolds et al. (2014) described the COAA rotation as having minimal to moderate soil
300 degradation, whereas CC presented excessive degradation relative to uncultivated soil. In
301 general, SOC sequestration has been observed to be higher in crop rotations than in
302 monocultures due to high production of crop residues and differences in crop residue quality
303 (Franzluebbers et al., 1995; Drury et al. 1998).

304

305 *Simulations for future climate scenarios*

306 Simulations for future climate over the 21st century (2016-2100) using RCP4.5 showed distinct
307 changes in yields and associated SOC stocks between cropping systems both in Elora and
308 Woodslee (Fig. 3). For Elora, CC and CCSS did not show any trend (regression was not
309 significant) over time and the corn yields were at the same level until the end of the 21st century
310 (Fig. 3a, Table 3). DNDC predicted lower corn yield for CC and CCSS contrasted with CCSW,
311 CCSW+Rc and CCAA where the yield was high. For CCSW, CCSW+Rc and CCAA, a

312 significant increase in corn yield was observed over the first 45 years of simulation (until 2060).
313 For the remaining time (2060-2100) corn yield levelled off (Fig. 3a). An increase in yield over
314 time was noted in CCSW, CCSW+Rc and CCAA with larger increases for CCAA compared to
315 CCSW or CCSW+Rc as indicated by significant differences in slopes (Table 3). Meyer-Aurich
316 et al. (2006a) did observe improved yields at Elora when wheat was included in a corn-soybean
317 rotation but underseeding red clover into wheat had no effect on yields.

318 Decreased 0-0.2 m SOC stocks were predicted for CC and CCSS at Elora, with larger
319 decreases for the CCSS rotation (Fig 3b, Table 3). Such trend was observed with measured
320 values where SOC stock in the 0-0.34 m soil layer was less for CCSS than in CCAA (Meyer-
321 Aurich et al. (2006b). In contrast, more diversified rotations had the largest increases in SOC
322 stock over time: CCSW+Rc > CCAA > CCSW (Fig. 3b, Table 3). Results from Iowa
323 (Farahbakhshazad et al., 2008) showed that DNDC-modelled soil carbon storage increased over
324 20 years when cover crops were added to a corn-soybean rotation, due to higher biomass C input,
325 although crop yields stayed the same. Farahbakhshazad et al., (2008) used the DNDC model to
326 explore how alternative cropping practices affect environmental processes such as SOC storage
327 and nitrate leaching, but extensive validation of C inputs and SOC was not conducted for a range
328 of cropping systems.

329 Our findings that corn yields and SOC may increase in the future under diversified
330 rotations, relative to monoculture and CCSS, is an important result that has not been
331 demonstrated in other modelling studies in Canada. The results are plausible considering DNDC
332 was validated using long-term experimental data which already included variability in climate
333 and marginal increases in atmospheric CO₂ concentration. The improved performance in the
334 diversified rotations is strongly related to crop water stress. Although precipitation increases

335 under the climate scenarios, the simulated evapotranspiration increases at a greater rate at higher
336 temperatures. Note that the Canada DNDC model has recently been improved and validated for
337 simulating evapotranspiration in eastern Canada (Dutta et al., 2016a). Some crops have lower
338 water requirements than corn (such as oats) or are grown in periods where more water is
339 available due to low soil evaporation at cooler temperatures (such as winter wheat or red clover).
340 This reduces water stress in the system and promotes higher growth which increases residue C
341 inputs. As a consequence, SOC under climate change is predicted to decline slightly under CC
342 and the CCSS rotation (soybean has high water use/biomass and low soil carbon inputs), but to
343 increase under the diversified rotations. The increased evaporative stress for the rotational corn is
344 offset by reduced or more efficient water use by the other crops but also by the higher soil water
345 holding capacity calculated by the revised DNDC model as SOC increases.

346 At Woodslee, future corn yield was also predicted to be significantly lower when grown
347 in monoculture (CC) than in the diversified COAA rotation (Fig 3c), with trends of increased
348 yields in COAA relative to CC. Smith et al. (2013) pointed out that DNDC predicts significant
349 increases in monoculture corn yield over time due to large projected increases in heat units and
350 increases in crop water and N use efficiency under elevated CO₂. However, improved
351 evapotranspiration (Dutta et al., 2016) or the pedo-transfer function to adjust water holding
352 capacity in response to changes in SOC was not considered in their study. Future prediction of
353 SOC stocks at Woodslee were in line with trends observed during the experimental period with
354 COAA having significantly higher SOC than CC (Fig. 3d). At the end of the simulation period
355 (2100) Woodslee SOC showed greater increase for COAA (26%) than for CC (16%) compared
356 to divergences between the systems in 2015 (Fig 3d). Both COAA and CC regressions showed
357 positive trends in SOC stock, with larger increases over time predicted for COAA (Table 3).

358 Results obtained for the RCP8.5 scenario (assuming high concentration of CO₂ of 850
359 ppm, Table S5), showed no distinct difference in corn yield and SOC stock from RCP4.5 at
360 Elora (Figs. 3 and S4, Tables 3 and S6). In Woodslee, scenario RCP8.5 showed a slight increase
361 in corn yield of 6.5 % for CC and COAA comparing to RCP4.5, and there was no clear
362 difference in SOC stock (+ 0.6 % in CC and + 2.1% in COAA) (Figs 3 and S4, Tables 3 and S6).
363 The crop trend confirmed previous modelling results using a different long-term trial in
364 Woodslee where the RCP8.5 corn yield was higher by 5.4% than the RCP 4.5 (He et al., 2017).
365 This growth in corn yield under future climate scenarios can be explained by the benefits from
366 higher temperature and CO₂ fertilization effect on C₄ crops (Denger, 2015; He et al., 2017). Crop
367 water, and N efficiency increases in DNDC under elevated CO₂ in accordance with recent Free-
368 Air CO₂ Enrichment studies (Smith et al., 2013).

369

370 **Conclusion**

371 The DNDC model was modified and evaluated using observed crop yields and climatic
372 parameters to improve yield estimates in diversified rotations. After revision, the DNDC
373 prediction of corn yield and SOC stock was more accurate in all cropping systems. The corn
374 rotations with winter wheat (CCSW, CCSW+Rc), or alfalfa (CCAA) in Elora or oats and alfalfa
375 (COAA) in Woodslee generated greater corn yields and higher SOC stock than CC. Future
376 scenarios for Elora showed intermediate trends in increased corn yield in CCSW, CCSW+Rc and
377 CCAA, but there was no predicted increase for CC or CCSS rotation. SOC stock also increased
378 under diversified rotations, but projections for CC and CCSS indicated negative trends in SOC
379 stock. At Woodslee, future scenarios for corn yield and SOC stock were positive both for CC and

380 COAA; however, the increase in corn yield and SOC stock under the COAA rotation was
381 considerably greater than under CC. Increases in corn yield for RCP8.5 in relation to RCP4.5
382 were predicted for Woodslee due to the positive influence of increased atmospheric CO₂. This
383 study indicates that corn yields and SOC content under diversified rotations will be more
384 resilient and could increase under the impacts of future climate change in comparison to CC or
385 CCSS rotations, primarily due to the mitigation of crop water stress.

386

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391

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555 Zhang Y, and H. Niu. 2016. The development of the DNDC plant growth sub-model and the
556 application of DNDC in agriculture: A review. *Agric. Ecosys. Environ.* 230: 271–282.

557 Table 1. Statistical evaluation of observed and DNDC-simulated corn yields for Elora and
 558 Woodslee before and after model revision. Average corn yields over 35 and 57 years are shown
 559 for Elora (1981-2015) and Woodslee (1959-2015), respectively, for cropping systems: CC =
 560 continuous corn, CCSS = corn-corn-soybean-soybean, CCSW = corn-corn-soybean-winter
 561 wheat, CCSW+Rc = corn-corn-soybean-winter wheat with red clover as a cover crop after
 562 wheat, CCAA = corn-corn-alfalfa-alfalfa, and COAA = corn-oats-alfalfa-alfalfa. RMSE = root
 563 mean squared error, MAPE = mean absolute percentage error, R^2 = coefficient of determination
 564 for regression between observed and modelled yields, and EF = model efficiency.

Site/ System	Average corn yield			RMSE		MAPE		R^2		EF	
	Obs.	Model		before	after	before	after	before	after	before	after
Elora	-----Mg ha ⁻¹ -----			-----		-----%-----					
CC	8.57 (1.69)	8.39 (1.49)	8.49 (1.66)	1.13	1.17	11.8	11.6	0.58	0.56	0.54	0.51
CCSS	8.69 (1.81)	8.41 (1.39)	8.46 (1.26)	1.47	1.42	15.5	14.6	0.37	0.38	0.32	0.37
CCSW	8.77 (1.79)	9.05 (1.38)	9.02 (1.43)	1.28	1.19	13.4	12.6	0.50	0.56	0.46	0.54
CCSW+Rc	9.21 (1.92)	8.55 (1.24)	9.40 (1.36)	1.60	1.35	15.4	12.2	0.40	0.50	0.28	0.49
CCAA	9.21 (1.86)	8.71 (1.29)	8.78 (1.66)	1.46	1.41	13.5	10.9	0.44	0.50	0.36	0.40
Woodslee											
CC	5.98 (1.74)	6.34 (1.17)	6.29 (1.36)	1.39	1.40	25.8	24.7	0.40	0.40	0.35	0.35
COAA	8.22 (2.00)	6.54 (1.29)	7.65 (1.79)	2.57	1.76	24.0	16.6	0.20	0.38	-0.50	0.21

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575 Table 2. Observed and predicted mean soil carbon stock in 0-0.2 m layer at Elora and Woodslee
 576 with standard error of mean shown in brackets. n = number of samples.

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Location	Cropping system	n	Observed	DNDC
			----- Mg C ha ⁻¹ -----	
Elora	CC	5	54.13 (1.06)	53.74 (0.39)
	CCSS	3	53.46 (0.515)	52.97 (0.26)
	CCSW	3	58.60 (1.61)	59.93 (2.84)
	CCSW+Rc	2	58.40 (2.04)	61.17 (1.45)
	CCAA	2	58.26 (0.55)	55.81 (1.26)
Woodslee	CC	3	52.02 (1.31)	51.36 (0.248)
	COAA	3	62.96 (1.03)	61.09 (0.566)

578

579 Table 3. Regression analysis of predicted corn yield and 0-0.2 m soil organic carbon stock under
 580 representative concentration pathway (RCP) scenario RCP 4.5 for the 2016-2100 period at Elora
 581 and Woodslee. Results are shown for CC = continuous corn; CCSS = corn-corn-soybean-
 582 soybean, CCSW = corn-corn-soybean-winter wheat, CCSW+Rc = corn-corn-soybean-winter
 583 wheat + red clover, CCAA = corn-corn-alfalfa- alfalfa, COAA = corn-oats-alfalfa-alfalfa. Linear
 584 regression slopes followed by different letters for each site indicate significant trends over time
 585 among cropping systems.

Site	Factor	Rotation	R^2	<i>slope</i>	<i>St error</i>	<i>t value</i>	<i>P-value</i>
Elora	Corn Yield	CC	0.01	4.38c	4.73	0.93	0.36
		CCSS	0.00	-0.67c	4.67	-0.14	0.89
		CCSW	0.66	36.61b	2.41	15.19	0.00
		CCSW+Rc	0.67	36.98b	2.39	15.46	0.00
		CCAA	0.59	46.50a	3.52	13.22	0.00
	SOC	CC	0.32	-11.78e	1.56	-7.54	0.00
		CCSS	0.90	-35.38d	1.06	-33.46	0.00
		CCSW	0.97	41.74c	0.72	58.14	0.00
		CCSW+Rc	0.99	108.5a	0.96	112.7	0.00
		CCAA	0.95	101.1b	2.04	49.5	0.00
Woodslee	Corn yield	CC	0.06	9.53b	3.15	3.02	0.00
		COAA	0.23	23.72a	3.50	6.64	0.00
	SOC	CC	0.32	4.91b	0.60	8.20	0.00
		COAA	0.98	218.64a	1.91	114.6	0.00

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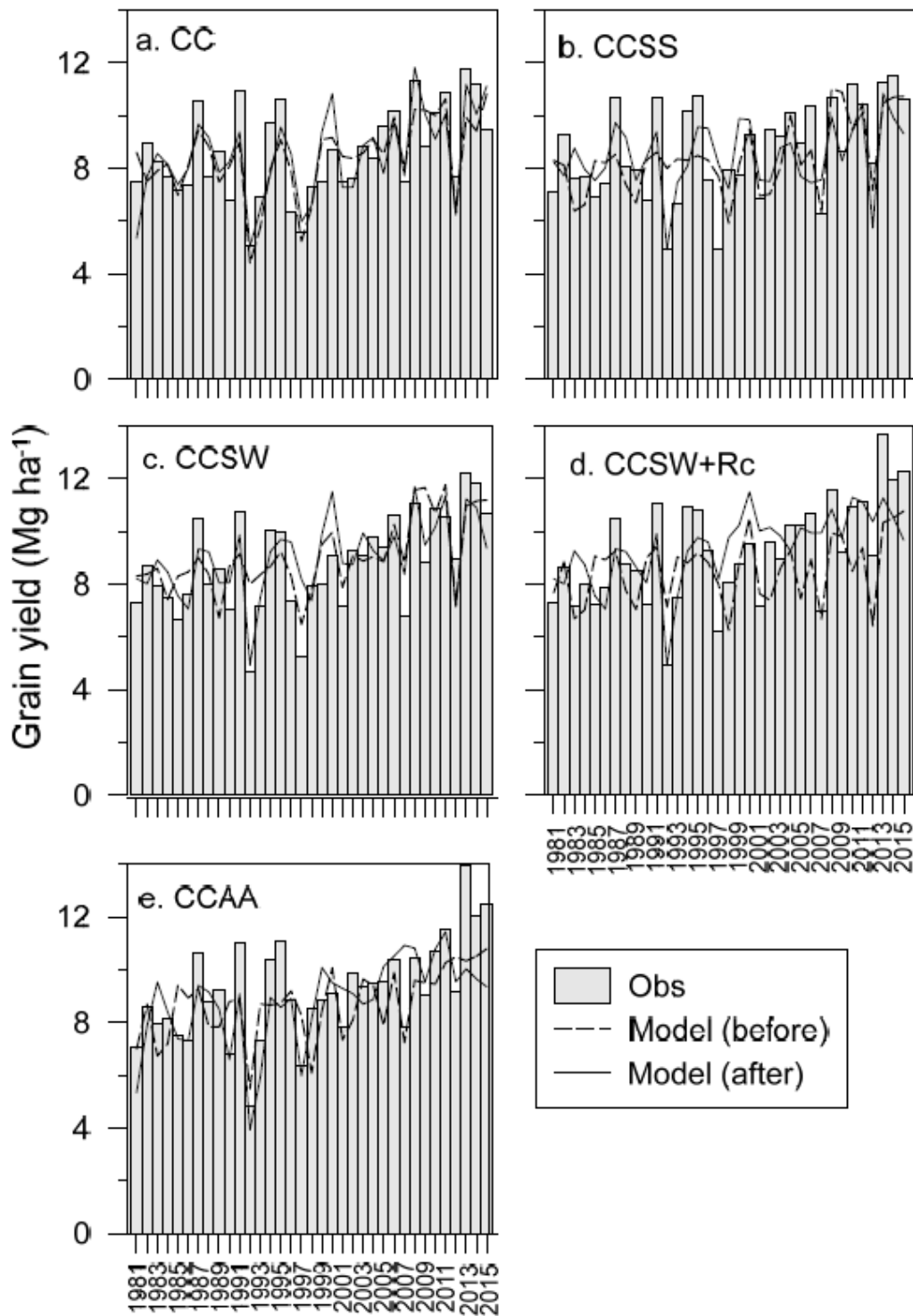
588 **Figure captions:**

589 Fig. 1. Comparison of simulated and observed corn yield from 1981 to 2015 under five cropping
590 systems at Elora for: a) continuous corn (CC), b) corn-corn-soybean-soybean (CCSS), c) corn-
591 corn-soybean-winter wheat (CCSW), d) corn-corn-soybean-winter wheat + red clover
592 (CCSW+Rc) and e) corn-corn-alfalfa-alfalfa (CCAA). Simulated values were obtained with the
593 DNDC model before and after model revision to account for long-term effects of diversified
594 rotations on soil conditions.

595
596 Fig. 2. Comparison of simulated and observed corn yield from 1959 to 2015 at Woodslee for: a)
597 continuous corn (CC), and b) corn-oats-alfalfa-alfalfa rotation (COAA). Simulated values were
598 obtained with the DNDC model before and after revision to account for long-term effects of
599 diversified rotations on soil conditions.

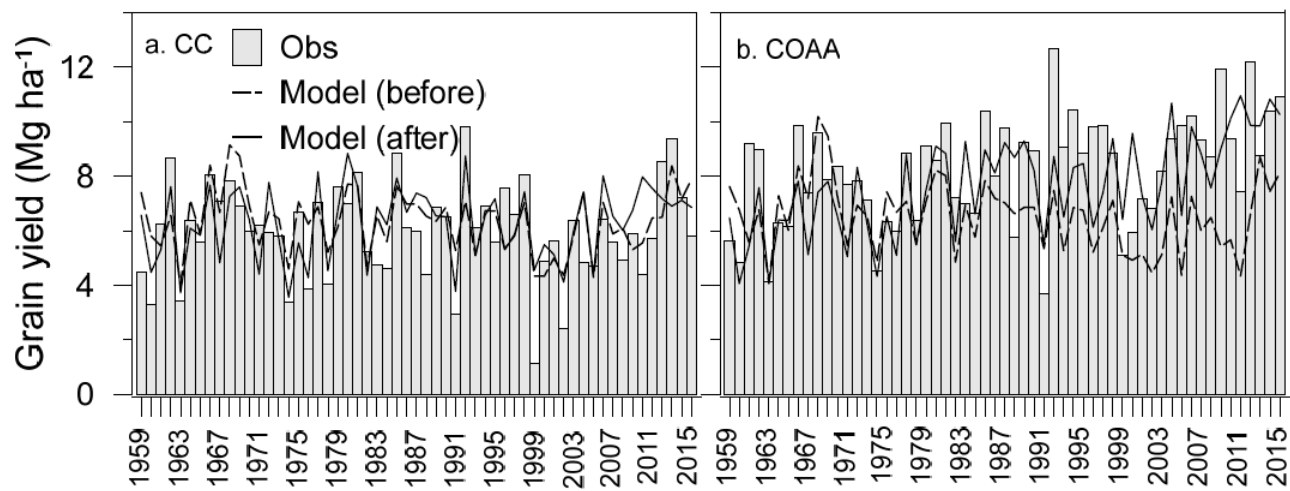
600
601 Fig. 3. Predictions of corn yield (a. and b.) and 0-0.2 m SOC stock (c. and d.) under
602 representative concentration pathway (RCP) scenario RCP 4.5 over 2016 to 2100 at Elora (a. and
603 c.) and Woodslee (b. and d.) for various cropping systems. Predictions were averaged over
604 selected periods starting in 2016 with error bars representing standard error of means (n=25 for
605 2016-2040, and n = 20 for other periods). CC = continuous corn; CCSS = corn-corn-soybean-
606 soybean, CCSW = corn-corn-soybean-winter wheat, CCSW+Rc = corn-corn-soybean-winter
607 wheat + red clover, CCAA = corn-corn-alfalfa- alfalfa, COAA = corn-oats-alfalfa-alfalfa.

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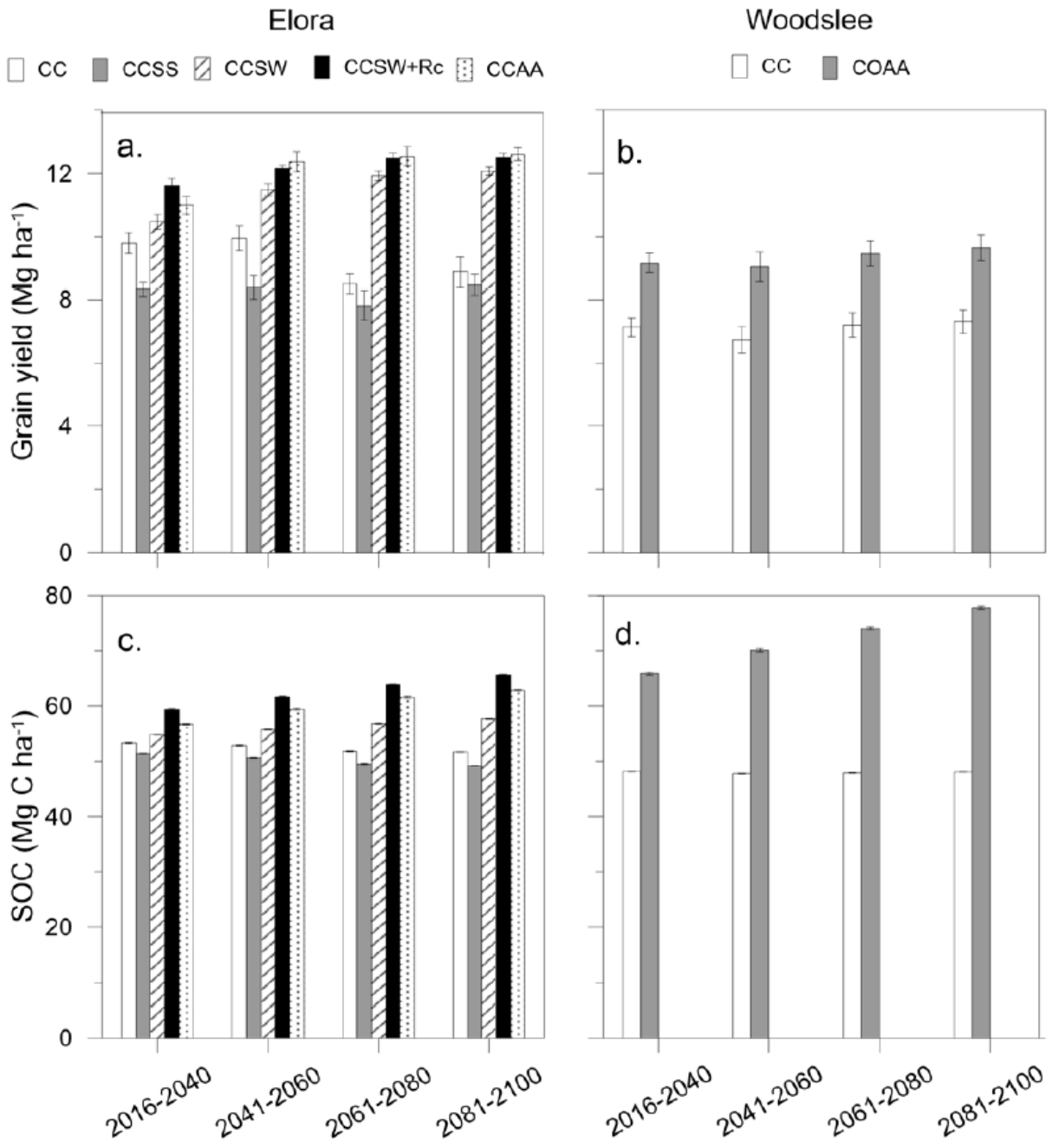
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610 **Fig 1**



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612 **Fig 2**



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614 **Fig 3**

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616 **Supplemental Material**

617 **Long-term trends in corn yields and soil carbon under diversified crop rotations**

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624 Table S1. Soil and crop management inputs for corn grown in monoculture and in crop rotations
 625 at Elora and Woodslee, Ontario Canada.

Soil Inputs (0-0.20 m)							
Site	pH	Clay	SOC	BD	Wilting point	Field capacity	Saturated Hydraulic Conductivity
		g kg ⁻¹		Mg m ⁻³	% Vol		mm h ⁻¹
Elora	7.3	170	22	1.30	12.8	31.1	23.8
Woodslee	6.1	370	25	1.35	23.4	37.6	6.02
Crop management inputs							
	Planting	Fertilizer application		Tillage application			
		Starter	Side-dress	Spring	Fall		
Elora	May	At planting 8 kg N ha ⁻¹	6 th leaf stage 140-150 kg N ha ⁻¹	Disc before planting	Moldboard plowing end of Oct to mid-Nov		
Woodslee	mid- May to June	One day before planting 16.8 kg N ha ⁻¹	6 th leaf stage 112 kg N ha ⁻¹	Disc before planting	Moldboard plowing end of Oct to mid-Nov		

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638 Table S2. Climate means for the period over which long-term cropping experiments were
 639 conducted at the two sites in Ontario, Canada.

Site	Elora	Woodslee
Period	1981-2015	1959-2015
Annual precipitation (mm)	1009	844
Precipitation May-Sep (mm)	426	410
Annual maximum temperature (°C)	11.4	13.9
Annual minimum temperature (°C)	1.8	4.7
Growing degree-days [†]	1009	1405
Solar radiation (MJ m ²)	13.4	13.6
Wind speed (m s ⁻¹)	4.0	3.4
Relative humidity (%)	81	75

640 [†]Growing degree-days May to September was computed by averaging daily maximum and
 641 minimum temperatures and subtracting base temperature (10°C). When average temperature was
 642 <10°C, degree day value = 0.

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658 Table S3. Calibration results for DNDC model runs at the Woodslee site using the first 10 years
 659 of continuous corn yield.

A. Corn yield (Mg ha ⁻¹) 1959-1968			
Year	Observed Yield	Before calibration	After calibration
1959	4.46	5.93	6.57
1960	3.30	4.36	4.48
1961	6.23	5.00	5.31
1962	8.69	4.36	7.62
1963	3.24	3.99	3.72
1964	6.37	4.35	6.10
1965	5.59	5.20	5.83
1966	8.07	4.45	7.68
1967	7.08	6.31	4.83
1968	7.81	5.34	7.27
Average	6.10	4.93	5.94
Standard deviation	1.90	0.76	1.36
B. Statistical evaluation			
Root mean square error (RMSE, Mg ha ⁻¹)		2.18	1.16
Mean absolute percentage error (MAPE, %)		38.0	16.8
Coefficient of determination (R^2)		0.02	0.59
Model efficiency (EF)		-0.47	0.58

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669 Table S4. Sources of soil organic carbon measurements per depth used to compared with DNDC
 670 model outputs for the two studied sites.

671 †Carbon concentration was recalculated from SOC content

Site/year	Available sampling depth (m) for each cropping systems					Source
	CC	CCSS	CCSW	CCSW+Rc	CCAA	
Elora						
1995	0-0.5	NA [†]	NA	NA	NA	Wanniarachichi et al. (1999)
1998	0-0.5	0-0.5	0-0.5	0-0.5	NA	Deen (2017) (unpublished)
2000	0-0.5	0-0.5	0-0.5	0-0.5	0-0.5	Deen (2017) (unpublished)
2005	0-0.5	NA	NA	NA	NA	Yang et al. (2008)
2015	0-0.5	0-0.5	0-0.5	NA	0-0.5	Deen (2017) (unpublished)
Woodslee		CC			COAA	
1994		0-0.5			0-0.5	Drury et al. (1994)
2002‡		0-0.15			0-0.15	Drury et al. (2004)
2004-2007		0-0.50			0-0.50	Reynolds et al. (2014)

672 ‡ Not available

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684 Table S5. Atmospheric conditions for historical (1981-2015 for Elora; 1959-2015 for Woodslee)
 685 and future projections (up to 2100) at two representative concentration pathways (RCP4.5 and
 686 RCP 8.5) in Ontario, Canada. Historical years correspond to duration of long-term cropping
 687 system trials.

Location	Scenario	Average annual temperature		Annual precipitation		CO ₂ concentration	
		RCP4.5	RCP8.5	RCP4.5	RCP8.5	RCP4.5	RCP8.5
		-----°C-----		-----mm-----		-----mg m ⁻³ -----	
Elora	1981-2015	6.6	6.6	1009	1009	367	367
	2016-2040	8.1	8.6	1002	949	431	443
	2041-2060	9.3	9.7	1003	1031	487	545
	2061-2080	9.9	10.8	992	1081	523	682
	2081-2100	10.1	12.8	1044	1070	534	850
Woodslee	1959-2015	9.3	9.3	844	844	359	359
	2016-2040	10.9	11.4	878	848	407	411
	2041-2060	12.1	12.5	857	947	462	493
	2061-2080	12.5	13.8	826	976	509	609
	2081-2100	12.8	15.1	908	966	534	850

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702 Table S6. Regression analysis of predicted corn yield and 0-0.2 m soil organic carbon stock
 703 under representative concentration pathway (RCP) scenario RCP 8.5 for the 2016-2100 period at
 704 Elora and Woodslee. Results are shown for CC = continuous corn; CCSS = corn-corn-soybean-
 705 soybean, CCSW = corn-corn-soybean-winter wheat, CCSW+Rc = corn-corn-soybean-winter
 706 wheat + red clover, CCAA = corn-corn-alfalfa- alfalfa, COAA = corn-oats-alfalfa-alfalfa. Linear
 707 regression slopes followed by different letters for each site indicate significant trends over time
 708 among cropping systems.

Site	Factor	Rotation	R^2	<i>Slope</i>	<i>St error</i>	<i>t value</i>	<i>P-value</i>
Elora	Corn Yield	CC	0.01	4.04c	4.54	0.89	0.38
		CCSS	0.00	-1.52c	4.41	-0.34	0.73
		CCSW	0.71	40.17b	2.33	17.24	0.00
		CCSW+Rc	0.74	40.60b	2.20	18.17	0.00
		CCAA	0.62	53.13a	3.81	13.95	0.00
	SOC	CC	0.47	-15.53e	1.52	-10.24	0.00
		CCSS	0.95	-39.80d	0.86	-46.10	0.00
		CCSW	0.96	46.18c	0.85	54.26	0.00
		CCSW+Rc	0.99	116.6a	0.98	118.9	0.00
		CCAA	0.94	92.47b	2.16	42.9	0.00
Corn yield	CC	0.17	16.58b	3.09	5.37	0.00	
	COAA	0.38	32.10a	3.49	9.20	0.00	
Woodslee	SOC	CC	0.62	9.30b	0.60	15.20	0.00
	SOC	COAA	0.99	238.5a	1.33	179.0	0.00

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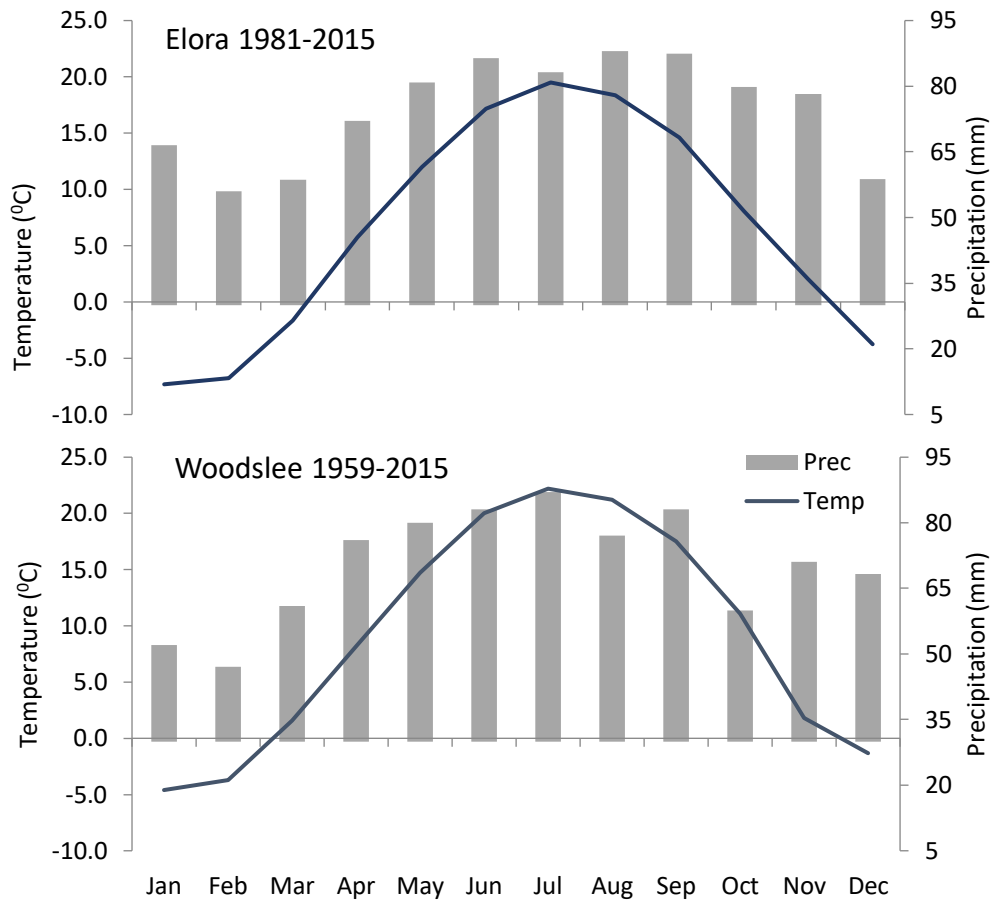
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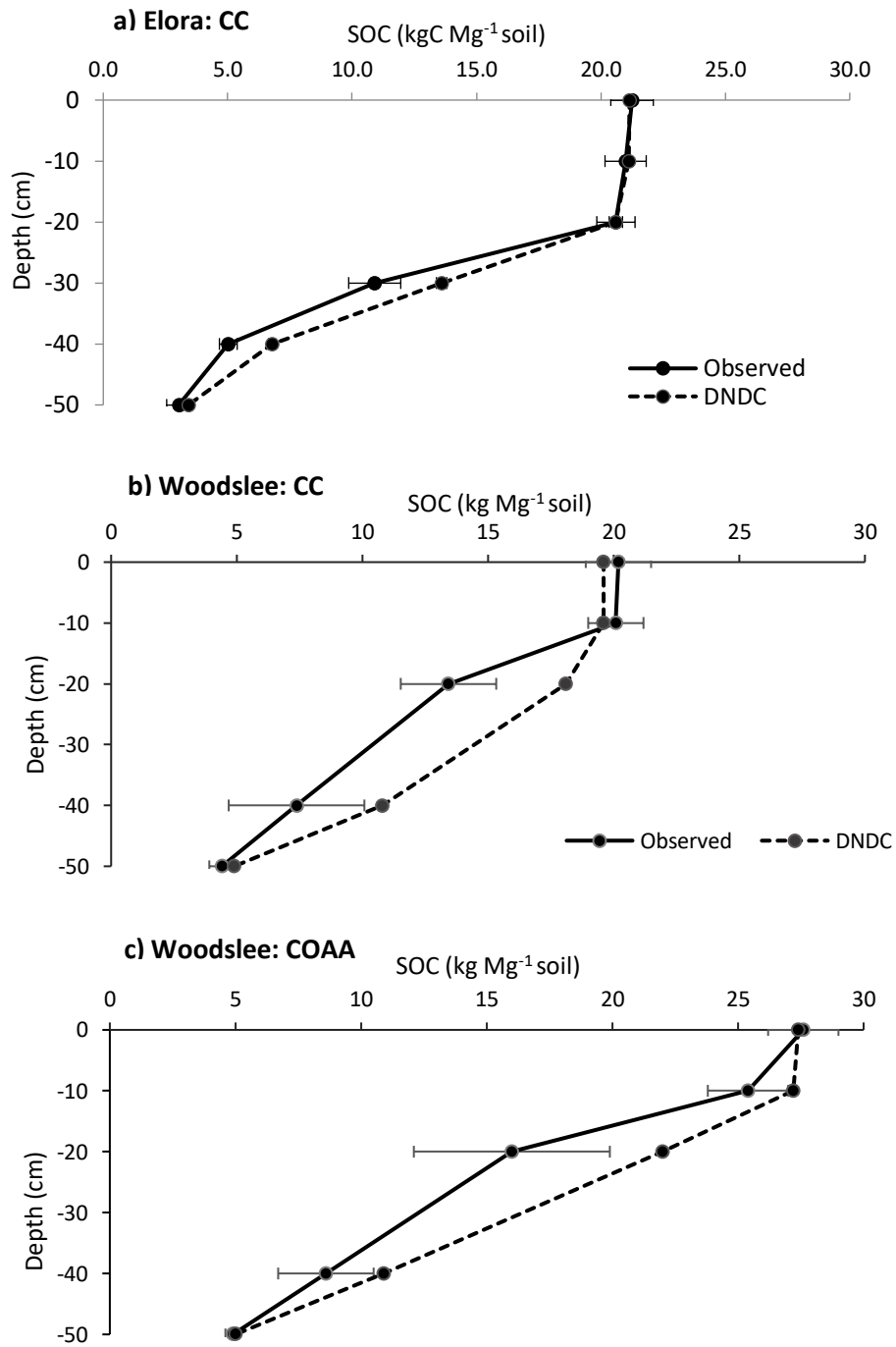


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716 Fig. S1. Average monthly temperature and precipitation at two locations in Ontario, Canada,
717 over the experimental period used for modelling.

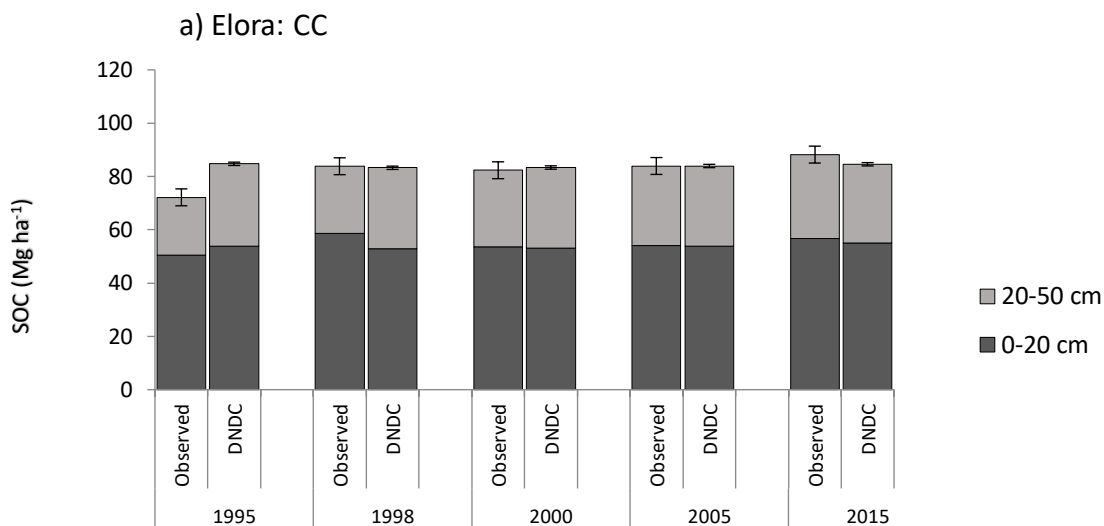
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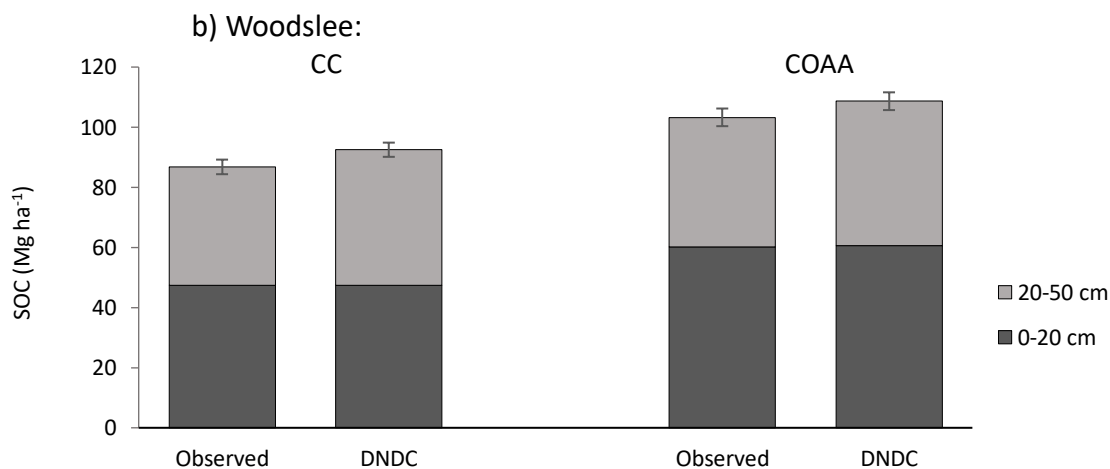
744 Fig. S2. Observed and DNDC-predicted SOC concentration with depth in a) continuous corn
 745 (CC) at Elora, b) continuous corn (CC) at Woodslee and c) corn-oats-alfalfa-alfalfa (COAA)
 746 at Woodslee. The horizontal bars represent standard error (n=4) of the mean. Values for Elora are
 747 means for 1995, 1998, 2000 and 2005 and for Woodslee 2004-2007.

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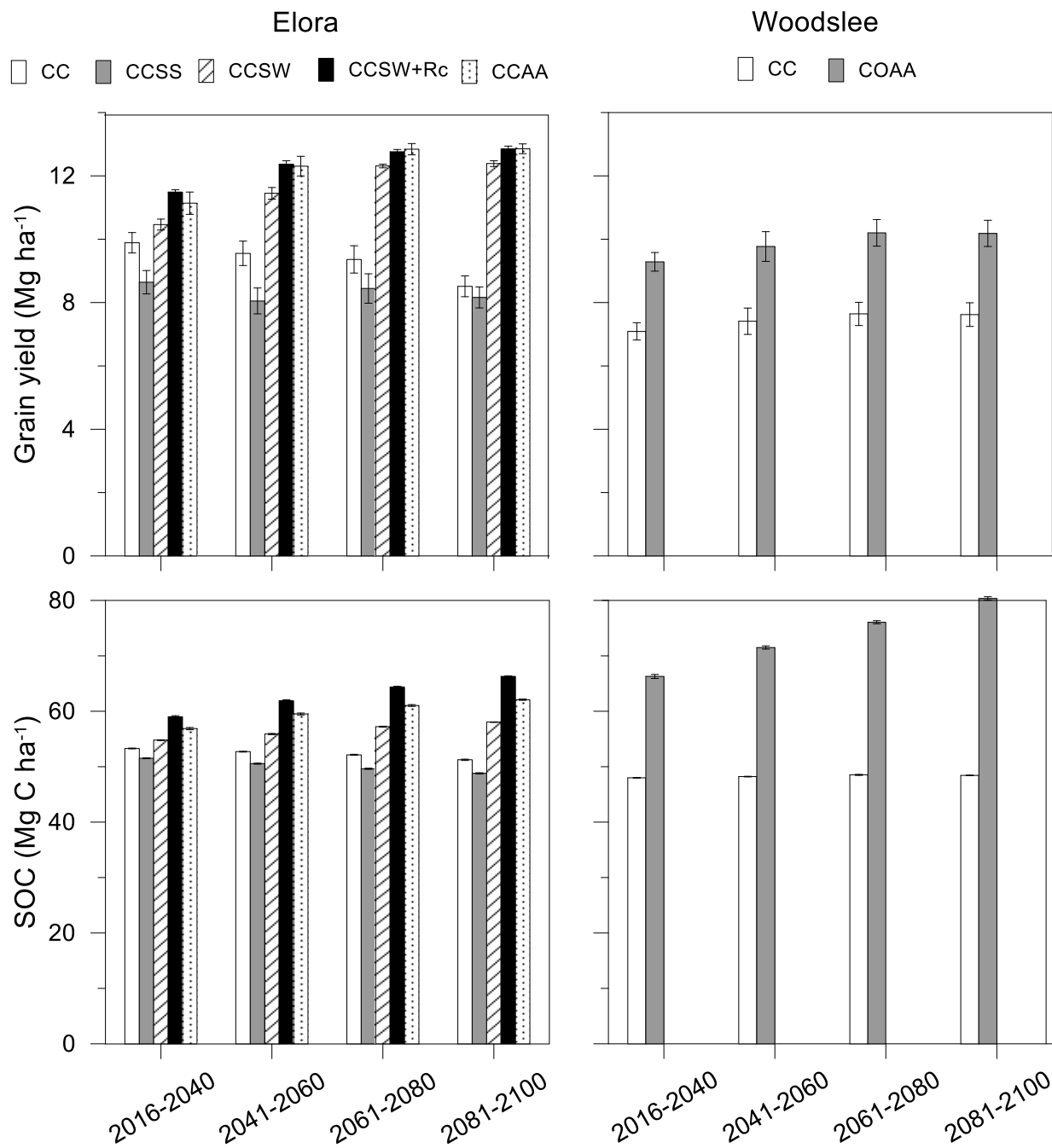
752 Fig. S3. Observed and DNDC predicted soil organic carbon (SOC) stock for 0-20 and 20-50 cm
753 layer for a) continuous corn (CC) at Elora, b) continuous corn (CC) at Woodslee and c) corn-
754 oats-alfalfa-alfalfa (COAA) at Woodslee. Error bars represent standard error (n=5 for Elora, n=3
755 for Woodslee).

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761 Fig. S4. Predictions of corn yield (top graphs) and 0-0.2 m SOC stock (bottom graphs) under
 762 representative concentration pathway (RCP) scenario RCP 8.5 over 2016 to 2100 at Elora (left
 763 panel) and Woodslee (right panel) for various cropping systems. Predictions were averaged over
 764 selected periods starting in 2016 with error bars representing standard error of means (n=25 for
 765 2016-2040, and n = 20 for other periods). CC = continuous corn; CCSS = corn-corn-soybean-
 766 soybean, CCSW = corn-corn-soybean-winter wheat, CCSW+Rc = corn-corn-soybean-winter
 767 wheat + red clover, CCAA = corn-corn-alfalfa- alfalfa, COAA = corn-oats-alfalfa-alfalfa.

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