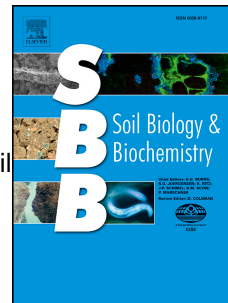


Accepted Manuscript

Quantifying the relationships between soil fraction mass, fraction carbon, and total soil carbon to assess mechanisms of physical protection

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PII: S0038-0717(19)30133-6

DOI: <https://doi.org/10.1016/j.soilbio.2019.04.019>

Reference: SBB 7478

To appear in: *Soil Biology and Biochemistry*

Received Date: 13 February 2019

Revised Date: 20 April 2019

Accepted Date: 25 April 2019

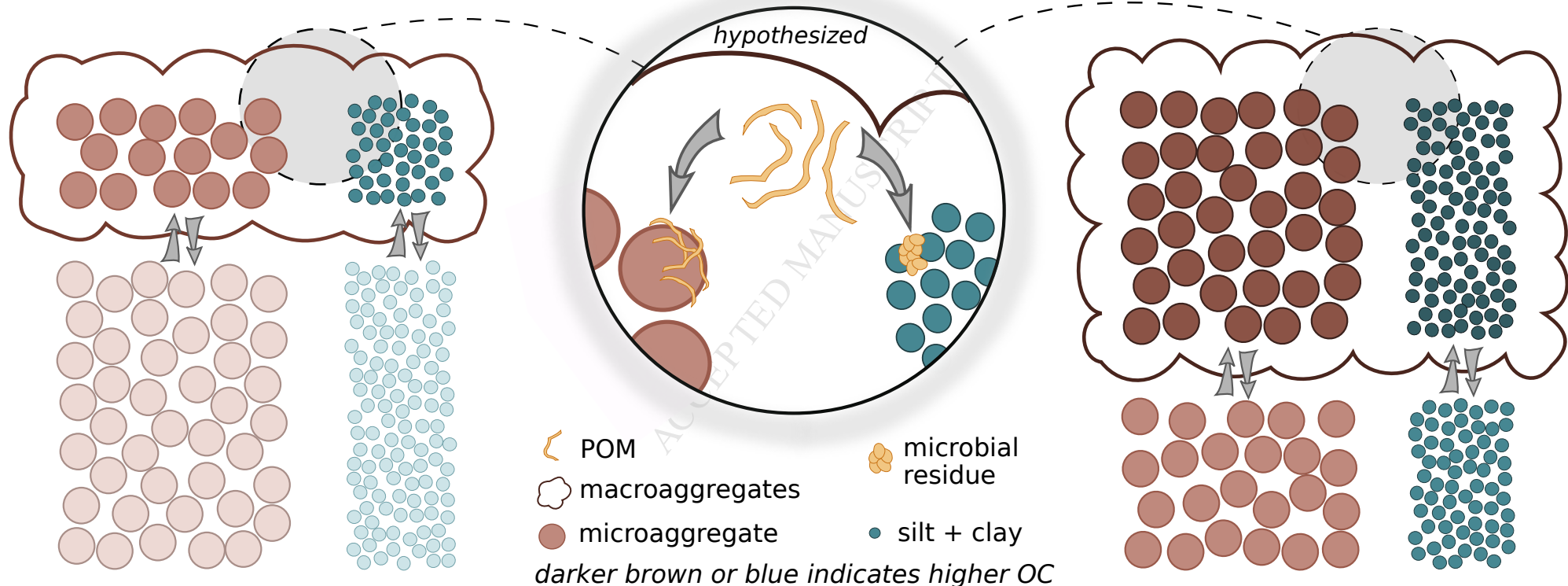
Please cite this article as: King, A.E., Congreves, K.A., Deen, B., Dunfield, K.E., Voroney, R.P., Wagner-Riddle, C., Quantifying the relationships between soil fraction mass, fraction carbon, and total soil carbon to assess mechanisms of physical protection, *Soil Biology and Biochemistry* (2019), doi: <https://doi.org/10.1016/j.soilbio.2019.04.019>.

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low OC soil

high OC soil



1 **Title**

2 Quantifying the relationships between soil fraction mass, fraction carbon, and total soil carbon to
3 assess mechanisms of physical protection

4
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16 **Keywords**

17 soil organic matter, meta-analysis, stabilization mechanisms, carbon saturation, particulate
18 organic matter, aggregation

19 **Abstract**

20 Relationships between soil fractions (their mass or carbon (C)) and soil organic carbon (SOC)
21 have been used to develop central ideas in SOC research. However, few attempts have been
22 made to quantify the relationship between SOC and all soil fractions, despite the potential of
23 such an effort to address SOC stabilization processes. We identified 41 published studies that
24 used diverse management techniques to cause a change in SOC concentration and disrupted soil
25 into macroaggregates ($> 250 \mu\text{m}$), free microaggregates (53-250 μm) and free silt + clay (< 53
26 μm), subsequently disrupting macroaggregates into constituent fractions (coarse particulate
27 organic matter [cPOM] $> 250 \mu\text{m}$, occluded microaggregates, and occluded silt + clay). We used
28 linear hierarchical models to quantify relationships between mass, C concentration and total C of
29 fractions and SOC. Soil mass redistribution toward macroaggregates was associated with SOC
30 accumulation, however total microaggregate mass (free + occluded) did not increase with
31 macroaggregate mass, as would be expected given *de novo* microaggregate formation within
32 macroaggregates. Instead, high SOC soils exhibited a greater percent of total microaggregates
33 occluded in macroaggregates. Occlusion in macroaggregates was also associated with increased
34 C concentrations of microaggregates (35% higher, SE = 3.2) and silt + clay (30% higher, SE =
35 3.9) relative to their free counterparts. Taken together, these relationships suggest reduced
36 macroaggregate turnover promotes SOC accumulation *via* the stabilization of C into occluded
37 fractions. Rates of SOC increase with silt + clay C concentrations failed to increase with mean
38 site-level SOC concentration, indicating of the studied soils (median SOC concentration = 14 g
39 kg^{-1} ; max 68), SOC accumulation appears unlikely to be limited by C storage capacity in the silt
40 + clay fraction. For each unit SOC gain, macroaggregates accounted for 83% (95% CI = 74, 91),

41 and occluded microaggregates for 43% (95% CI = 33, 52), consistent relationships that have
42 potential to be used as benchmarks for fraction-based SOC models.

43 **1. Introduction**

44 Soil organic carbon (SOC) represents a pool of C larger than terrestrial biomass and atmospheric
45 C combined (Janzen, 2015). Mechanisms of SOC formation and persistence have long been a
46 challenge to untangle, with physical protection, such as within soil aggregates, acknowledged as
47 a primary mechanism in operation (Lehmann and Kleber, 2015; Schmidt et al., 2011). An
48 improved understanding of physical protection mechanisms is needed to constrain the potential
49 of SOC to mitigate climate change (Minasny et al., 2017) and to provide ameliorated soil health
50 (Harden et al., 2018).

51 A key step in studying physical mechanisms of SOC protection is the identification of
52 measurable soil fractions which can be used to make inferences about processes governing the
53 formation and persistence of SOC (Sohi et al., 2001; Stewart et al., 2008). Many soil physical
54 fractionation methods have been used to disrupt soil into such putative size or density fractions
55 (Beare et al., 1994; Cambardella and Elliott, 1992; Elliott, 1986; Gupta and Germida, 1988;
56 Kemper and Rosenau, 1986; Six et al., 2000a; Sohi et al., 2001). Usually, these studies rely on
57 differences in soil management to create variability in total SOC and soil fractions so that
58 relationships between them can be assessed (Beare et al., 1994; Cambardella and Elliott, 1992;
59 Elliott, 1986; Gupta and Germida, 1988; Jastrow et al., 1996; Six et al., 2000a). These and
60 similar investigations show 1) soil fractions differ in their stability to subsequent disruption,
61 leading to the general conclusion that smaller soil fractions persist longer and therefore offer
62 more stable protection to associated organic C (Jastrow et al., 1996) and 2) soils with a greater

63 mass in larger fractions (usually $> 250 \mu\text{m}$) are associated with greater SOC levels (Beare et al.,
64 1994; Elliott, 1986; Gupta and Germida, 1988), leading to a larger mean weight diameter.
65 However, to our knowledge, few attempts have been made to quantify the relationship between
66 SOC and all soil fractions, despite the potential of such an effort to address SOC stabilization
67 processes (Harden et al., 2018). To test for relationships between SOC and soil fractions, it is
68 essential that a quantitative literature review target a common soil fractionation method, thereby
69 minimizing the variability arising from disparate methods.

70 The soil fractionation method of Six et al. (2000a) disrupts macroaggregates ($>250 \mu\text{m}$) into
71 fractions occluded within them: coarse particulate organic matter (cPOM), occluded
72 microaggregates (53-250 μm), and occluded silt + clay ($<53 \mu\text{m}$). Often used as an extension of
73 the simpler one-step soil fractionation of Elliott (1986), it provides additional information by
74 isolating intra-macroaggregate fractions. Although other techniques provide even more detailed
75 information on soil fractions (Gupta and Germida, 1988; Sohi et al., 2001), Six et al. (2000a)'s
76 method has been more widely applied (860 citations on Web of Science as of September 2017).
77 Studies using this fractionation method typically derive soil fraction mass and fraction C
78 concentration, which can then be multiplied to determine total fraction C.

79 Six & Paustian (2014) reviewed seven studies that used the Six et al. (2000a) method and found
80 that most SOC accumulation occurred in occluded microaggregates. This finding suggested
81 greater significance of the conclusion of Six et al. (2000a), who compared tilled and no-till soils
82 and observed increases in the proportion of macroaggregate mass composed of occluded
83 microaggregates under no-till. Based on this observation, Six et al. (2000a) suggested reduced
84 rates of macroaggregate turnover under no-till enabled occluded microaggregate formation and
85 acted as a mechanism for SOC accumulation.

86 Based on the wide-spread use of the Six et al. (2000a) method, there is an opportunity to further
87 explore the relationships between SOC levels and all soil fractions through a quantitative review
88 across many sites. For instance, including silt + clay and cPOM fractions in a review has
89 potential to inform because their carbon dynamics are central to C saturation theory (Hassink,
90 1997; Six et al., 2002). This theory holds that the C adsorption capacity of silt + clay is limited,
91 and that once this fraction is C saturated, C accrual must occur as free POM (Gulde et al., 2008;
92 Stewart et al., 2008) or macroaggregate-occluded POM (Brown et al., 2014). Whether C
93 saturation is widespread in soils has so far received inconclusive support (Stewart et al., 2008,
94 2007). It is also possible that other soil dynamics are sensitive to SOC concentration, such as
95 rates of aggregate mass redistribution, however this possibility has received little theoretical
96 attention to our knowledge. Parsing the relationship between changes in each fraction mass,
97 fraction C concentration, and total fraction C could address several additional unknowns: it is
98 unknown if preferential increases in total fraction C occur due to a redistribution of soil mass
99 into that fraction, due to higher rates of increase in the C concentration of that fraction, or some
100 combination of these two processes. Evaluating microaggregate dynamics with SOC
101 accumulation can also help to test the proposition that macroaggregate turnover enables SOC
102 accumulation via microaggregate formation (Six et al., 2000a) across multiple sites. Six et al.
103 (2000a) found increases in mass of occluded microaggregate as a proportion of macroaggregates
104 was associated with increases in SOC concentration and linked this to decreases in
105 macroaggregate turnover. Investigating these relationships across multiple studies requires
106 careful selection of statistical techniques.

107 Quantitative reviews in soil ecology have relied on a suite of increasingly precise statistical
108 techniques (Gurevitch et al., 2018). From early use of vote-counting, limited by bias and

109 imprecision (Gurevitch and Hedges, 1999), formal techniques in meta-analysis rely on the pair-
110 wise comparison of treatment and control observations within the same study to generate
111 standardized effect sizes (Gurevitch et al., 2018; Koricheva and Gurevitch, 2014, e.g., King and
112 Blesh, 2018; Tonitto et al., 2006). Effect sizes do not quantify variables in absolute units (e.g., g
113 SOC kg soil⁻¹), but absolute changes can be estimated in quantitative reviews through the use of
114 regression (Booth et al., 2005; West and Post, 2002). Linear hierarchical models provide a
115 particular advantage for the nested datasets often generated by quantitative reviews (i.e., multiple
116 treatments within sites) because they can synthesize all within-site slopes into global slopes,
117 which are then not confounded by drivers of between-site variation (Woltman et al., 2012).
118 Linear hierarchical models can also be adapted to answer specific questions in soil ecology, such
119 as whether C saturation occurs between (but not within) sites, by extracting lower-level slopes
120 and testing whether they change with mean site-level SOC concentration.

121 To examine the relationship between SOC, fraction mass, fraction C concentration, and total
122 fraction C, we systematically reviewed studies that used the macroaggregate fractionation
123 method of Six et al. (2000a). We addressed the following specific questions: 1) When all soil
124 fractions are considered, do occluded microaggregates accumulate C preferentially as SOC
125 increases? 2) Is preferential C accumulation in any soil fraction caused by changes in that
126 fraction's C concentration, mass, or both? 3) Do fraction and SOC relationships support *de novo*
127 formation of microaggregates within macroaggregates? 4) Are rates of change in soil fraction
128 characteristics (total C, C concentration, and mass) with SOC concentration moderated by mean
129 site-level SOC concentration? Given the hypothesized role of macroaggregation in mechanisms
130 of SOC accumulation, we also asked 5) Does occlusion in macroaggregates influence C
131 concentrations of microaggregates and silt + clay particles? Environmental variables (MAT,

132 MAP, clay, elevation, pH, soil order) have also received much attention as potential between-site
133 drivers of macroaggregation and SOC (Burke et al., 1989; Deneff et al., 2007; Doetterl et al.,
134 2015; Percival et al., 2000; Rasmussen et al., 2018), hence, we tested for their power in
135 explaining between-site variability in SOC concentration and macroaggregate mass.

136 **2. Materials & Methods**

137 *2.1 Database search*

138 In September 2017, we searched Web of Science Core Collection for all papers citing Six et al.
139 (2000a) (approximately 830 studies). Criteria for inclusion in the review were that studies 1)
140 used the method of Elliott (1986) to sieve field-moist soil to 8 mm, allow to air-dry, then wet-
141 sieve into macroaggregates, free microaggregates, and free silt and clay; 2) used the method of
142 Six et al. (2000a) to isolate occluded fractions (coarse POM, microaggregates, and silt + clay),
143 and 3) reported either a mass, C concentration, or total C value for an occluded fraction. We
144 updated the search in January 2018. We refer readers to Figure 1 for sieving method and size
145 classifications common to studies reported and to Six et al. 2000a for more detailed descriptions
146 of the method.

147 Studies found with these criteria reported SOC (concentration or total on a mass per area basis)
148 and soil fractions as a response to a variety of management interventions, in some cases at
149 multiple depths. In some studies, macroaggregates were reported separately by large (>2 mm)
150 and small (250 μm – 2 mm) macroaggregates. For each treatment and depth increment, we
151 recorded data for as many of the following seven soil fractions as were reported: large
152 macroaggregates, small macroaggregates, free microaggregates, free silt + clay, occluded cPOM,
153 occluded microaggregates, and occluded silt + clay. Hereafter, we refer to occluded cPOM as

154 simply cPOM. Data collected on soil fractions included: mass (g fraction 100 g soil⁻¹, or, for
155 occluded fractions, g fraction 100 g macroaggregate⁻¹), C concentrations (g C kg fraction⁻¹, or for
156 occluded fractions, per kg macroaggregate), and percent total SOC in fraction or percent
157 macroaggregate C in occluded fraction. We also recorded total soil C concentration (g kg soil⁻¹),
158 percent sand, silt and clay (if reported separately by treatment), upper and lower depth of soil
159 sampling, and land cover (agriculture, forest, or grassland). We recorded management practices
160 used as treatments and USDA soil orders, and we translated soil orders reported in the FAO
161 classification to USDA soil orders where possible.

162 For each site, we noted elevation (m), mean annual temperature (MAT, °C) and mean annual
163 precipitation (MAP, mm), and percent sand, silt, and clay. Where necessary, we used Data Thief
164 (Tummers, 2006) to extract data from figures. Where elevation was not reported, we estimated it
165 based on latitude and longitude (<https://www.geoplaner.com/>).

166 *2.2 Calculations*

167 The diversity of units used to report soil fraction C and mass resulted in a preliminary database
168 that needed to be standardized. We combined large and small macroaggregates (hereafter
169 ‘macroaggregates’), reducing the total number of soil fractions analyzed to 6. Where large and
170 small macroaggregates were reported separately in terms of g macroaggregate per 100 g soil, g
171 macroaggregate C per kg soil, or percent total soil C in macroaggregates, we calculated total
172 macroaggregate mass or C as the sum of large and small macroaggregate values. Where the
173 concentration of macroaggregate C, occluded microaggregate C, or occluded silt + clay C was
174 reported separately for large and small macroaggregates (LM and SM, respectively), we

175 calculated total macroaggregate or macroaggregate-occluded C as a mass-weighted average:

176

$$g \text{ LM } C \text{ kg soil}^{-1} * \frac{g \text{ LM } g \text{ soil}^{-1}}{g \text{ LM } + SM \text{ g soil}^{-1}} + g \text{ SM } C \text{ kg soil}^{-1} * \frac{g \text{ SM } g \text{ soil}^{-1}}{g \text{ LM } + SM \text{ g soil}^{-1}}$$

177 When total fraction C was not reported, we multiplied g fraction kg soil⁻¹ by g fraction C g
 178 fraction⁻¹, or alternatively, we multiplied g fraction C g soil C⁻¹ by g soil C kg soil⁻¹ to obtain g
 179 fraction C kg soil⁻¹. To express fraction C concentration where it had not been reported, we
 180 multiplied g fraction C kg soil⁻¹ by kg soil kg fraction⁻¹ to obtain g C kg fraction⁻¹. We
 181 calculated aggregate mean weight diameter (De Gryze et al., 2006), using separate diameters for
 182 large and small macroaggregates if they were reported, and otherwise we used a single diameter
 183 for total macroaggregates.

184 At 8 sites, total SOC concentration was not reported. Therefore, we calculated total SOC
 185 concentration as the sum of g C fraction kg soil⁻¹ for large and small macroaggregates, free
 186 microaggregates, and free silt + clay. If necessary, when both mass distribution and C
 187 concentration per fraction were reported, we calculated g C fraction kg soil⁻¹ as above and then
 188 summed fraction C to arrive at total SOC. In these cases, only C concentrations for non-sand-
 189 corrected aggregate concentrations were used. This measure avoided overestimating total SOC
 190 concentration. In some cases, fraction C or total SOC numbers were reported on a mass per area
 191 basis. We used bulk density numbers specific to treatment and depth increments to convert C per
 192 area measurements to a concentration basis, contacting study authors where necessary for bulk
 193 density values.

194 2.3 Statistics

195 To test for relationships between four soil fractions characteristics (fraction mass, mean weight
196 diameter, fraction C concentration, and total fraction C) and SOC concentration, we constructed
197 linear mixed-effect hierarchical models, using the R package lme4 (Bates et al., 2015).

198 Hierarchical models were necessary given the nested structure of data (treatments within sites,
199 e.g., Senior et al. (2018)), and enabled estimation of within-site slopes that are then combined
200 into global slopes, so any effect of environmental variables (clay, MAP, MAT, etc.) on mean
201 site-level SOC or fraction characteristics does not appear in the global slope. In choosing
202 dependent and independent variables for the hierarchical models, we combined pragmatic and
203 mechanistic approaches: pragmatically, in order to estimate the percent of total fraction C
204 apportioned to each fraction per unit SOC concentration gained, we used total fraction C as the
205 dependent variable and SOC concentration as the independent variable, because this model
206 creates slopes that correspond to the needed formulation (Δ total fraction C / Δ SOC). In
207 recognition of physical protection mechanisms governing SOC accumulation, we used SOC
208 concentration as the response variable for other models (fraction mass, fraction C concentration,
209 mean weight diameter).

210 For the random effect for all models, we used unique site and depth identifiers assigned after
211 grouping soil observations from within the same site and depth. This grouping avoided
212 confounding an effect of treatment with an effect of depth, however, for simplicity, we hereafter
213 use the term *site* to denote a single depth increment within site. We set models to generate
214 separate slopes and intercepts for each site, and we consider these slopes to be the management-
215 induced effects on aggregation and SOC concentration. Model output also included global slopes
216 and intercepts for the model. For global slopes, we calculated 95% confidence intervals

217 (hereafter “CI”). As our models included a random effect for site, it was necessary to calculate
218 R^2 values considering the model both with the random effect (conditional R^2 , or R^2C), and
219 without (marginal R^2 , R^2M), which were extracted from linear hierarchical models using the
220 MuMIn package (Nakagawa and Schielzeth, 2013). Although not all data displayed homogeneity
221 of variance, we consider the model reasonably robust to these effects (Zuur et al., 2009) and we
222 avoided transforming variables because the production of ecologically meaningful slopes was a
223 leading objective of the review. Specifically, however, residual distribution in linear hierarchical
224 models formed slightly heteroscedastic, unbiased distributions around predicted values, with
225 higher SOC concentrations corresponding to slightly larger residuals and sparser data. We
226 acknowledge that uncertainty around the rate of change between SOC and soil fraction
227 characteristics is greater at higher ranges of SOC concentration (> 40 g SOC / kg soil) than at
228 lower SOC concentrations.

229 We were interested in exploring if mean site-level SOC concentration affected the rate of mass,
230 C concentration, or total C change in soil fractions (described above and in SI Figure 2); that is,
231 if the within-site slopes generated from linear hierarchical models changed as a function of SOC
232 concentration for each of the six soil fractions. To check trends of within-site slopes, we used
233 linear regression between slopes (dependent variable) and mean site-level SOC concentrations
234 (independent variable).

235 To test for the effect of environmental variables (MAT, MAP, elevation, clay and pH) on SOC
236 and macroaggregate mass, we used linear regression, with SOC g kg soil⁻¹ or macroaggregate
237 mass as the dependent variable and the environmental variable as the independent variable,
238 taking only the upper-most reported depths from each site. Linear regression (without a
239 hierarchical component) was necessary because environmental variables had only one level for

240 each site (with few exceptions, e.g., Brown et al., 2014). For these environmental analyses only,
241 SOC concentrations were log-transformed where necessary for residuals to meet assumptions of
242 normality, and log-transformations are indicated where regressions are reported. As
243 macroaggregate mass displayed a boundary-line relationship with MAP, we performed an
244 additional boundary-line regression to identify the minimum rate of macroaggregate increase
245 with MAP. To perform boundary-line analysis, we identified upper and lower bounds of reported
246 MAP (excluding outlier MAP > 3,000 mm), divided data into ten equal increments along the
247 MAP gradient, and then took the lowest 10% of reported macroaggregate values in each
248 increment; these data were then used in linear regression (Feng et al., 2013). To test for the effect
249 of soil order on SOC concentrations and macroaggregate mass, we performed one-way
250 ANOVAs, with soil order as a categorical predictor variable. As all treatments (representative of
251 management) within sites had the same values for these environmental variables (with some
252 exceptions for clay), we consider these tests to represent the effect of environment on
253 aggregation and SOC concentration. All analyses were carried out in R v. 3.3.3 (Core Team,
254 2017).

255 *2.4 Caveats and limitations of the dataset*

256 Despite overall consistency of fractionation methods used in the study, not all studies used the
257 same procedures for sand correction (Elliott et al., 1991) and correction for light fraction (Six et
258 al., 1998). Out of all sites, 43% performed sand corrections on soil fractions, while 56% did not,
259 thus, the cPOM fraction should be considered 'cPOM or cPOM + sand'. Corrections for free
260 light fraction were carried out at 10% of sites, while 29% performed light fraction correction on
261 a subset of aggregate fractions (usually occluded microaggregates) and 60% reported no light
262 fraction corrections at all. We acknowledge that these differences in sand and light fraction

263 correction likely contributed to variability in the dataset. Not all sites were accompanied by
264 reports of soil texture, soil order, or other environmental variables. We note that some soil
265 fractions were reported more consistently than others, and that the CI of slopes of SOC
266 concentration and soil fraction values reflect both the variability of the reported relationships as
267 well as the frequency of reporting. We acknowledge that mean site-level SOC concentration is
268 influenced by depth of sampling, which was variable throughout the top 40 cm of soil (SI Table
269 1). We included all available observations to avoid loss of data and our selection of random
270 effects in hierarchical models ensured that changes in SOC and fraction characteristics were not
271 confounded by change in soil depth.

272 **3. Results**

273 *3.1 Database synthesized from literature*

274 Our search resulted in a database (SI Table 1) of 41 studies reporting from 38 sites (Ayuke et al.,
275 2011; Bhattacharyya et al., 2012; Bossuyt et al., 2004; Brown et al., 2014; Carrington et al.,
276 2012; Chung et al., 2010, 2008; Das et al., 2014; Del Galdo et al., 2003; Deneff et al., 2007;
277 Fahey et al., 2013; Fonte et al., 2014, 2010a, 2010b, 2009b, 2009a, 2007; Fonte and Six, 2010;
278 Fultz et al., 2014, 2013b, 2013a, Gentile et al., 2011, 2010; Gulde et al., 2008; Hoosbeek et al.,
279 2006; Huang et al., 2010; Jiang et al., 2017; Knowles et al., 2016; Kumari et al., 2011; Liang et
280 al., 2014; Lichter et al., 2008; Mayzelle et al., 2014; Nicolás et al., 2014; Oorts et al., 2007; Scott
281 et al., 2017; Sheehy et al., 2015; Simpson et al., 2004; Singh et al., 2015; Yavitt et al., 2015;
282 Zhao et al., 2018; Zotarelli et al., 2005). Studies were located on five continents (Australia and
283 Antarctica not represented), with reports from North America (39% of studies) and Asia (18% of
284 studies) most common. Studies that reported soil orders included reports from Alfisols, Entisols,

285 Inceptisols, Mollisols, Oxisols, and Ultisols (SI Table 3), with 8 studies not reporting a USDA
286 soil order. No studies were known to report from Gelisols, Andisols, Vertisols, Spodosols, or
287 Histosols, but since 8 studies did not report USDA soil order it is possible some of these orders
288 were included, however we acknowledge that patterns of SOC accumulation discussed are not
289 expected to extend to these soil orders. Additional information on the range of environmental
290 variables across studies can be found in the Supplementary Information. Studies reported results
291 from treatments applied to experimental sites in agriculture, grassland, forest, or a mixture of
292 these, with the majority (76%) of studies reporting agricultural sites. Studies used a range of
293 treatments, including: tillage, species identity or diversity (usually through crop rotation),
294 inorganic nitrogen (N) fertilizer, quality of organic amendment, quantity of organic amendment,
295 harvest practices, earthworm abundance or species, free air carbon enrichment, and restoration
296 (from agriculture to grassland). Sites had a minimum of 2 different treatments, with a mean of
297 4.3, resulting in a total of 199 treatments in the database. As some studies reported soil at
298 multiple depths (11 out of 38 sites), which we retained as separate observations, the database
299 contained a total of 253 unique site \times treatment \times depth soil observations. Maximum depth of
300 sampling was 40 cm, with the most common depth increment being 0-15 cm (14 out of 38 sites).

301

302 Figure 1.

303 *3.2 Do occluded microaggregates accumulate C preferentially as SOC increases?*

304 All data for the relationship between SOC concentration and total fraction C are shown in Figure
305 2 and regression coefficients for the global slopes generated from linear hierarchical models are
306 presented in Table 1 (section *Total Fraction C / SOC Concentration*). Given a perfect accounting

307 of total C in soil fractions (and assuming no correction for free light fraction), the global slopes
308 for the relationship between total fraction C accumulation for macroaggregates, free
309 microaggregates, and free silt + clay would sum to 1. Global slopes estimated from the review
310 were remarkably close to this target: for each unit SOC increase, 0.89 units of fraction C were
311 accounted for on average. Hereafter, we translate global slopes reported in Table 1 (section *Total*
312 *Fraction C / SOC Concentration*) to percentages by multiplying by 100; these percentages
313 represent the percent of SOC accumulation that occurs in each fraction.

314 Among all six fractions, macroaggregates accumulated total C at both the highest rate and with
315 the greatest consistency (83%, CI = 74, 91%, Table 1, Figure 2 *Macroaggregate*). All fractions
316 except macroaggregates were non-overlapping (i.e., macroaggregates contained occluded
317 fractions that were reported both as part of macroaggregates and individually). Within the set of
318 non-overlapping fractions, occluded microaggregates accumulated total C at the highest rate
319 (43%, CI = 33 - 52%; Table 1, Figure 2 *Occluded microaggregate*). Among all three
320 macroaggregate-occluded fractions, occluded microaggregates preferentially accumulated C as
321 SOC increased, gaining more C than both other fractions combined (cPOM and occluded silt +
322 clay, Table 1). Free microaggregates were responsible for little C gain and the free silt + clay
323 fraction often lost C as SOC concentration increased (Table 1, Figure 2).

324

325 *3.3 What soil fraction dynamics explain preferential C accumulation?*

326 All data for the relationship between fraction C concentration and SOC concentration are shown
327 in Figure 3 and regression coefficients for the global slopes generated from linear hierarchical
328 models are presented in Table 1 (section *SOC Concentration / Fraction C Concentration*).

329 Among all soil fractions, increases in C concentrations were associated with similar increases in

330 SOC concentrations, with the exception of cPOM (Figure 3, Table 1): a 1 g increase in fraction C
331 concentration (except in cPOM) was associated with a ~ 0.8 g increase in g SOC kg soil⁻¹, and CI
332 intervals for global slopes overlapped for the different fractions (Table 1). For cPOM, however, a
333 1 g increase in C concentration was associated with a 0.09 g increase in SOC kg soil⁻¹ (CI = 0.05,
334 0.17, Table 1). As rates of C concentration increase were similar across fractions (except cPOM),
335 it follows that changes in fraction mass (i.e. redistribution) explained the preferential increase in
336 total fraction C with SOC concentration for a given soil fraction.

337 All data for the relationship between fraction mass and SOC concentration are shown in Figure 4
338 and regression coefficients for the global slopes generated from linear hierarchical models are
339 presented in Table 1 (section *SOC Concentration / Fraction Mass*). Soil organic carbon
340 consistently increased with the redistribution of soil mass into macroaggregates, away from free
341 microaggregates or free silt and clay (Figure 4, Table 1). Specifically, with each additional 1 g
342 macroaggregate 100 g soil⁻¹, there was an increase of 0.27 g SOC kg soil⁻¹ (CI = 0.18, 0.35,
343 Table 1). Among occluded fractions on a whole soil basis, increases in both occluded
344 microaggregates and occluded silt + clay were associated with increases in SOC concentration
345 (Table 1, Figure 4), with global slope estimates between 0.23 g SOC kg soil⁻¹ for each 1 g
346 occluded microaggregate 100 g soil⁻¹ and 0.46 g SOC kg soil⁻¹ for each additional 1 g occluded
347 silt + clay (CI for these slopes did not differ). Coarse POM mass had the most variable
348 relationship to SOC concentrations of all fractions reported, within increases in cPOM
349 sometimes associated with an increase and sometimes with a decrease in SOC concentrations (CI
350 = -0.56, 1.42, Table 1, Figure 4 *cPOM*).

351 Increases in mean weight diameter of aggregates were associated with higher SOC
352 concentrations (8.8 g SOC kg soil⁻¹ / mm, CI = 5.3, 12.3; SI Figure 3). Rates of SOC

353 accumulation with changes in aggregate mass appeared to be moderated by mean site-level SOC
 354 concentrations, a relationship quantified below (section 3.5, SI Figure 2, and Table 3).

355

356

357 Table 1. Global regression coefficients generated from linear hierarchical models, quantifying
 358 the relationship between SOC concentration and total fraction C, fraction C concentration, and
 359 fraction mass. Marginal R^2 (R^2M) values represent the explanatory power of the relationship
 360 between SOC concentration and fraction characteristic without a random effect for site. All
 361 conditional R^2 values (those incorporating random effect of site) were above 0.79. Confidence
 362 intervals (CI) represent 95% confidence intervals of the global slope.

Fraction	Sites	Obs.	Intercept	Slope	CI	R^2M
<i>Total Fraction C / SOC Concentration*</i>						
Macroaggregate	51	219	-3.13	0.83	0.74, 0.91	0.85
Free microaggregate	52	221	2.88	0.08	0.03, 0.12	0.12
Free silt + clay	51	217	1.88	-0.02	-0.04, 0.01	0.03
cPOM	35	163	-0.84	0.14	0.05, 0.23	0.21
Occluded microaggregate	37	167	-1.16	0.43	0.33, 0.52	0.63
Occluded silt + clay	34	157	0.97	0.16	0.09, 0.23	0.24
<i>SOC Concentration / Fraction C Concentration[†]</i>						
Macroaggregate	50	216	4.28	0.72	0.57, 0.86	0.57
Free microaggregate	50	216	5	0.93	0.73, 1.13	0.65
Free silt + clay	50	214	6.42	0.83	0.54, 1.12	0.33
cPOM	28	118	17.54	0.11	0.05, 0.17	0.22
Occluded microaggregate	29	124	5.96	0.68	0.42, 0.93	0.53
Occluded silt + clay	28	121	3.08	0.86	0.57, 1.15	0.59
<i>SOC Concentration / Fraction Mass[‡]</i>						
Macroaggregate	52	216	3.79	0.27	0.18, 0.35	0.25
Free microaggregate	53	218	27.71	-0.28	-0.38, -0.18	0.14

Free silt + clay	52	211	25.66	-0.42	-0.53, -0.3	0.14
cPOM	24	97	18.57	0.43	-0.56, 1.42	0.04
Occluded microaggregate	30	119	14.01	0.23	0.14, 0.32	0.08
Occluded silt + clay	24	97	15.33	0.46	0.12, 0.8	0.05

363 *Unit for the slope is (g fraction C / kg soil) / (g SOC / kg soil), or (fraction C / SOC); intercepts in g
364 fraction C / kg soil

365 ^φUnit for the slope is (g SOC / kg soil) / (g fraction C / kg fraction); intercepts in g SOC / kg soil

366 ^λUnit for the slopes is (g SOC / kg soil) / (g fraction / 100 g soil); intercepts in g SOC / kg soil

367

368 Figure 2.

369 Figure 3.

370 Figure 4.

371 Figure 5.

372

373 *3.4 Do fraction and SOC relationships support de novo formation of microaggregates within*
374 *macroaggregates?*

375 Total microaggregate mass did not increase with macroaggregate mass (Figure 5.A, slope = 0.06
376 g total micro. 100 g soil⁻¹ / g macro. 100 g soil⁻¹, CI = -0.11, 0.24, R²M = 0.01), and furthermore,
377 total microaggregate mass was associated with relatively small changes in SOC concentration
378 (Figure 5.B, slope = 0.08 g SOC kg soil⁻¹ / g total micro. 100 g soil⁻¹, CI = -0.04, 0.20, R²M =
379 0.01). Instead, significant increases in SOC concentration were caused by increases in the
380 percent of total microaggregates occluded in macroaggregates (Figure 5.C, slope = 0.21 g SOC
381 kg soil⁻¹ / g occ. micro. 100 g total micro⁻¹, CI = 0.14, 0.28, R²M = 0.14). Increases in the

382 proportion of total (free + occluded) silt + clay occluded in macroaggregates were also
 383 associated with increases in SOC concentration (SI Figure 4).

384 Although the proportion of total microaggregates within macroaggregates explained SOC
 385 concentrations, we did not detect a consistent trend between the percent macroaggregate mass or
 386 C in occluded microaggregates or cPOM and SOC concentration (Table 2, SI Figure 5). Among
 387 all three occluded fractions, a consistent (decreasing) trend of SOC concentration with
 388 percentage of macroaggregate mass or C in occluded form was only observed for silt + clay
 389 (Table 2).

390

391

392 Table 2. Global regression coefficients generated from linear hierarchical models, with SOC
 393 concentration as a dependent variable and occluded fractions as a percent macroaggregate mass
 394 or C as independent variables. Marginal R^2 (R^2M) values represent the explanatory power of
 395 aggregate characteristics on SOC concentrations without random effect for site. All conditional
 396 R^2 values (those incorporating random effect of site) were above 0.89. Confidence intervals (CI)
 397 represent 95% confidence intervals of the global slope.

	Sites	Obs.	Intercept*	Slope ^o	CI	R^2M
Percent Mass in Macroaggregate						
cPOM	23	95	21.60	-0.14	-0.50, 0.21	0.02
Occluded microaggregate	29	117	14.22	0.09	-0.08, 0.27	0.02
Occluded silt + clay	23	95	32.82	-0.37	-0.61, -0.13	0.08
Percent C in Macroaggregate						
cPOM	34	161	18.91	0.02	-0.3, 0.33	0
Occluded microaggregate	36	165	17.07	0.06	-0.12, 0.24	0.01

Occluded silt + clay	34	157	29.91	-0.32	-0.49, -0.16	0.08
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398 * Units are in g SOC / kg soil

399 ϕ Units are in g SOC kg soil⁻¹ / fraction unit, where fraction units are defined in the far left
 400 column: e.g., Percent Mass in Macroaggregate, cPOM is (g cPOM / g macroaggregate) * 100.

401 *3.5 Are rates of change in soil fraction characteristics with SOC concentration moderated by*
 402 *mean site-level SOC?*

403 We used mean site-level SOC concentration as a predictor variable in a simple linear regression
 404 to test for a trend in slopes (response variable) generated by the linear hierarchical models (SI
 405 Figure 2, Table 3). The relationship between SOC concentration and total fraction C show little
 406 relationship to mean site-level SOC concentration, as indicated by low R² values (-0.02 – 0.12,
 407 Table 3, *Fraction Total C*). Similarly, the relationship between SOC concentration and fraction C
 408 concentration showed little relationship to mean site-level SOC concentration (R² = 0.02 – 0.30,
 409 Table 3, *Fraction C Concentration*). However, mean site-level SOC concentration did moderate
 410 fraction mass, as sites with higher average SOC concentrations displayed a greater response in
 411 SOC for increases in macroaggregate mass, as shown by a positive slope in Table 3, *Fraction*
 412 *Mass*. A similar significant moderating response of mean site-level SOC on fraction mass
 413 redistribution was observed for all other fractions except cPOM.

414 Table 3. Linear regression coefficients generated by using mean site-level SOC concentration as
 415 an independent variable and site-level slopes of the hierarchical linear regression between SOC
 416 concentration and total fraction C, fraction C concentration, and fraction mass as dependent
 417 variables (data shown by Figures 2 - 4 as indicated below). All SOC concentrations in g SOC /
 418 kg soil.

	Sites	P-value	Slope	R ²
Fraction Total C (Fig. 2)				
	<i>Slope = (Fraction Total C / SOC) / mean site-level SOC</i>			
Macroaggregate	51	0.0570	0.002	0.05
Free microaggregate	52	0.9238	0	-0.02
Free silt + clay	51	0.8663	0	-0.02
cPOM	35	0.0230	0.004	0.12
Occluded microaggregate	37	0.4814	-0.001	-0.01
Occluded silt + clay	34	0.6003	-0.001	-0.02
Fraction C Concentration (Fig. 3)				
	<i>Slope = (SOC / Fraction C Concentration) / mean site-level SOC</i>			
Macroaggregate	50	0.0075	-0.004	0.03
Free microaggregate	50	< 0.00001	-0.013	0.28
Free silt + clay	50	< 0.00001	-0.01	0.07
cPOM	28	0.0029	0.001	0.07
Occluded microaggregate	29	< 0.00001	-0.013	0.30
Occluded silt + clay	28	0.0941	-0.004	0.02
Fraction Mass (Fig. 4)				
	<i>Slope = (SOC / Fraction Mass) / mean site-level SOC</i>			
Macroaggregate	52	< 0.00001	0.013	0.68
Free microaggregate	53	< 0.00001	-0.012	0.72
Free silt + clay	52	< 0.00001	-0.021	0.80
cPOM	24	0.1048	0.015	0.02
Occluded microaggregate	30	< 0.00001	-0.003	0.91
Occluded silt + clay	24	< 0.00001	0.017	0.97

419

420 *3.6 Does occlusion in macroaggregates influence fraction C concentration?*

421 In the same soil, occluded microaggregates and occluded silt + clay showed higher C

422 concentrations than their free counterparts (Figure 6), as observations fell above the 1:1 line

423 between occluded and free fractions. Occluded silt + clay was on average 30% (SE = 3.9 g C / kg

424 silt + clay) higher than free silt + clay. Occluded microaggregates were on average 35% (SE =

425 3.2 g C / kg microaggregate) higher in C kg⁻¹ than free microaggregates.

426

427 Figure 6.

428

429 *3.7 Environmental properties as predictors of SOC concentration and macroaggregate mass*

430 Among four environmental properties tested (MAT, MAP, clay, elevation and pH), several were

431 statistically significant, but none explained more than 33% of the variability in SOC

432 concentration between sites (pH, SI Table 2). Macroaggregate mass was not well predicted by

433 soil percent clay ($R^2 = 0.03$, SI Table 2), with MAP explaining the most variability ($R^2 = 0.16$, SI

434 Table 2). Minimum reported values for macroaggregate mass increased linearly with MAP, and

435 in a boundary-line analysis (with only the lowest 10% of macroaggregate values for each decile

436 of MAP) MAP was a strong predictor of macroaggregate mass ($R^2 = 0.89$, Figure 7). Soil

437 organic carbon was lower in Inceptisols than in Entisols, Mollisols, or Oxisols, with Alfisols and

438 Ultisols displaying intermediate SOC concentrations (SI Table 3; $P = 0.00102$).

439 Macroaggregation differed among USDA soil orders: Oxisols exhibited greater

440 macroaggregation than Alfisols, Ultisols, and Mollisols, while Inceptisols exhibited greater

441 macroaggregation than Mollisols (SI Table 3; $P < 0.0001$; Tukey's HSD).

442 Figure 7

443 **4. Discussion**

444 While physical protection in soil fractions has been linked to SOC stabilization for decades

445 (Elliott, 1986; Tisdall and Oades, 1982), and more recent work points to the prominence of

446 occluded microaggregates in SOC accumulation (Six et al., 2000a; Six and Paustian, 2014), we

447 provide the first quantitative review to our knowledge to link a comprehensive set of soil

448 fractions, including all free and macroaggregate-occluded fractions, to SOC concentration.

449 Having addressed our specific research questions in the results, we now highlight how these
450 findings relate to ongoing discussions in SOC research, specifically: 4.1) The role of
451 environmental properties in predicting macroaggregate mass, 4.2) Accumulation of soil organic
452 carbon promoted by reduced macroaggregate turnover; 4.3) Testing for carbon-saturating
453 behavior of the silt + clay fraction; 4.4) The SOC-dependent relationship between SOC and
454 aggregate mass, and 4.5) Implications of soil fraction relationships with SOC for models and
455 indicators.

456 *4.1 The role of environmental properties in predicting macroaggregate mass*

457

458 The macroaggregate mass and SOC data (Figure 4 *Macroaggregate*) show that soils supported
459 high levels of macroaggregate mass even in the lowest quartile of SOC concentrations (below 10
460 g / kg soil). This points to the role of inorganic binding agents in stabilizing macroaggregates
461 (Bronick and Lal, 2005). A role for inorganic binding agents is also highlighted in the
462 relationship between MAP and macroaggregate mass (Figure 7). Minimum macroaggregate mass
463 increased linearly with MAP, potentially through the indirect effect of MAP in promoting the
464 formation of amorphous Fe and Al oxides, previously shown to increase macroaggregate mass
465 (Duiker et al., 2003; Wei et al., 2016). We found that clay content had little explanatory power
466 over macroaggregate mass ($R^2 = 0.03$, SI Table 2), further pointing to the activity of clay, rather
467 than total clay, as a determinant of macroaggregate mass (*sensu* Doetterl et al., 2018).

468 *4.2 Accumulation of soil organic carbon promoted by reduced macroaggregate turnover*

469 A key idea from Six et al., (2000a) held that slower macroaggregate turnover promotes SOC
470 accrual by enabling the formation of occluded microaggregates. Given that reduced turnover is
471 likely to induce increases in macroaggregate mass, our results (Figure 4 *Macroaggregate*)

472 support the idea of reduced macroaggregate turnover promoting SOC accumulation. However,
473 this reduced macroaggregate turnover does not appear to cause SOC accumulation *via*
474 microaggregate formation according to the review data. If this were the prevalent mechanism,
475 then more total (free + occluded) microaggregates should be associated with macroaggregate
476 mass increase and increases in the total microaggregate mass should be associated with SOC
477 accumulation. But, we find that across sites, reduced macroaggregate mass (as proxy for
478 turnover) did not increase the mass of total microaggregates (Figure 5.A). Furthermore, increases
479 in total microaggregate mass were associated with only small gains in SOC (Figure 5.B). These
480 observations are consistent with the concept that soil mass in microaggregates is controlled by
481 diverse forces of which macroaggregate turnover is not a primary agent (Totsche et al., 2017).

482 Rather than SOC accumulation *via* total microaggregate formation, we find SOC accumulation
483 *via* larger C concentrations of macroaggregate-occluded fractions (microaggregates and silt +
484 clay) relative to their free counterparts (Figure 6). Higher C concentrations of these
485 macroaggregate-occluded fractions is consistent with delayed decomposition rates within the
486 macroaggregate relative to outside of it (John et al., 2005; Sexstone et al., 1985), however,
487 higher C concentrations may also be explained by enhanced rates of C accumulation in these
488 macroaggregate-occluded fractions. Particulate organic matter, such as is derived from roots,
489 constitutes an important source of C for soils (King and Blesh, 2018; Kong and Six, 2010; Rasse
490 et al., 2005). Stabilization of POM occurs through its transfer to soil compartments with a slower
491 turnover, such as through the production of microbial residues that adsorb to mineral surfaces
492 (Kallenbach et al., 2016; Kögel-Knabner et al., 2008) or the occlusion of fine POM within
493 microaggregates (John et al., 2005; McCarthy et al., 2008). Macroaggregates support an
494 abundance of microbial biomass relative to free microaggregates and silt + clay (Chen et al.,

495 2014; Gupta and Germida, 1988), and the abundance of this microbial biomass and its proximity
496 to microaggregate and silt + clay surfaces, we suggest, promotes stabilization of POM into these
497 fractions.

498 The concept that macroaggregates provide an advantageous environment for the stabilization of
499 POM is further supported by studies investigating the fate of specific C inputs to soil. Within the
500 first month after POM addition, almost all intra-aggregate POM was found in macroaggregates,
501 rather than stabilized in free microaggregates or absorbed to free silt and clay
502 (Andruschkewitsch et al., 2014; Angers et al., 1997; Li et al., 2016; Sánchez-de León et al.,
503 2014). Over the course of two years, recovery of ^{13}C -labeled POM increased in size classes of
504 free microaggregate and free silt and clay C (Angers et al., 1997; Jin et al., 2018; Li et al.,
505 2016), a timeline consistent with multiple cycles of macroaggregate formation and disruption,
506 which are estimated at 5-90 days (De Gryze et al., 2006, 2005; Plante et al., 2002). Moreover,
507 when density fractionation was performed to remove free POM, applied ^{13}C tracer recovery also
508 increased in free microaggregates and on free silt + clay, showing ^{13}C had been incorporated
509 onto these more stable forms (Angers et al., 1997; Li et al., 2016). Taken together, these studies
510 and our results (Figure 6) suggest that when POM is incorporated into macroaggregates, its C is
511 more efficiently stabilized *via* occlusion into microaggregates or *via* the production of microbial
512 residues which are stabilized on silt + clay surfaces (Angst et al., 2017; Kirchmann et al., 2004;
513 Plaza et al., 2013). A higher efficiency of C stabilization in macroaggregates would explain the
514 positive relationship between percent of total microaggregates occluded in macroaggregates and
515 SOC concentration (Figure 5.C).

516 Methodological differences between studies could have contributed to the observed increase in C
517 concentration of the occluded microaggregate size class relative to free, as not all studies applied

518 sand and light fraction corrections. A higher occluded microaggregate C concentration compared
519 to free microaggregates would be inflated by more fine POM or lower concentration of sand
520 inside the macroaggregate compared to outside. However, even when considering only studies
521 that applied sand correction, occluded microaggregates were still 19% (SE = 4.8) higher on
522 average in C concentration than free microaggregates. Our finding that the silt + clay C
523 concentration is increased when this fraction is occluded (Figure 6) is less likely to be affected
524 by methodological differences, as the silt + clay fraction does not contain sand. Given that
525 macroaggregate-occluded silt + clay is enriched in C, it could be that silt + clay occluded within
526 microaggregates is also enriched in C compared to free silt + clay. Some evidence supports this
527 hypothesis (Brown et al., 2014), however these data were reported too infrequently to be
528 included in the analysis.

529 *4.3 Testing for carbon-saturating behavior of the silt + clay fraction*

530 The data collected afforded an opportunity to investigate if any fraction displays C-saturating
531 behavior between (but not within) sites as mean site-level SOC increases. If the slopes relating
532 SOC to fraction C increased with mean site-level SOC, then we considered that fraction to show
533 saturating behavior. (That the slopes should increase to show C saturation may be
534 counterintuitive but is a product of having used SOC as a response variable in the original
535 model.) Thus, for the silt + clay fraction (either occluded or free) to show evidence of C
536 saturation, the rate of SOC increase for each unit silt + clay C concentration ($\text{g SOC kg soil}^{-1} / \text{g}$
537 $\text{C kg silt + clay}^{-1}$) should increase with mean SOC concentration of the site. We found no
538 evidence of this effect (Table 3), suggesting that the silt + clay fraction was not exhibiting an
539 upper limit of C concentration in our database. We also found little evidence that rates of SOC
540 increase with gains in total cPOM-C (kg soil^{-1}) are lower in soils with higher mean SOC

541 concentrations, as would be expected if other compartments are C-saturated and unable to
542 participate in SOC accumulation (Table 3). Unfortunately, no direct comparison is possible
543 between our review data and regression coefficients generated through other approaches used to
544 investigate C-saturation, as they rely on disrupting all aggregates to $< 53 \mu\text{m}$ (or $< 20 \mu\text{m}$) and
545 relating the soil mass in that mineral fraction to total fraction C in that fraction (Dexter et al.,
546 2008; Feng et al., 2013; Hassink, 1997; Six et al., 2002). Stronger evidence for fraction C
547 accumulation expected under C saturation (Six et al., 2002) may appear given alternative
548 fractionation methods, e.g., if free POM and fine macroaggregate-occluded POM were included
549 in the POM pool, or if POM corrections had been performed on the $<53 \mu\text{m}$ fraction, which may
550 contain very fine POM (Mueller et al., 2014) that would not be expected to show C-saturating
551 behavior.

552 Our database is not equipped to explore a second tenet of C saturation theory: that increasing C
553 inputs to soil will result in diminishing gains in SOC (Gulde et al., 2008; Novara et al., 2016;
554 Six et al., 2002; West and Six, 2006). However, the site of Gulde et al. (2008) in this database
555 clearly exhibits diminishing SOC response to C input, and also contains two of the highest SOC
556 treatments (94.8 and $133.7 \text{ g kg soil}^{-1}$, which were removed from analyses due to data
557 visualization challenges; see SI). When all treatments from Gulde et al. (2008) are regressed with
558 SOC concentration as a function of free silt + clay C concentration, the slope is higher than the
559 global slope obtained here (Table 1, $0.83 \text{ (g SOC / kg soil) / (g C / kg free silt + clay)}$ vs 4.1 in
560 Gulde et al. (2008); *data not shown*), consistent with C saturation of this fraction at this very
561 high SOC site. We form two main conclusions from the above: First, given that aggregation
562 appears closely linked to total SOC accumulation and the stabilization and destabilization of C in
563 the mineral fraction (Figure 6; Angst et al., 2017), it may be justified to incorporate soil

564 aggregate dynamics alongside total clay mass and mineralogy when considering a given soil's
565 mineral C storage capacity. Second, given the lack of clear saturating response of the silt + clay
566 fraction in the main database (median SOC concentration = 14 g kg⁻¹; max 68) it seems unlikely
567 that these soils are limited in SOC accumulation by the C storage capacity of the silt + clay (< 53
568 μm) fraction.

569

570 *4.4 The SOC-dependent relationship between SOC and aggregate mass*

571 As discussed above, soil mass was consistently redistributed into macroaggregates, away from
572 free silt + clay and free microaggregates, as SOC increased (Figure 4, Table 1). While the
573 direction of aggregate mass redistribution was consistent across soils, the rate of change was
574 strongly influenced by mean site-level SOC, as evidenced by slopes different from zero (Table
575 3). Differential accumulation of SOC with each unit increase in macroaggregate mass meant that
576 a standard increase of 10 g macroaggregate per 100 g soil caused a larger increase in SOC at
577 high SOC sites (Table 4). To our knowledge this finding is unanticipated in previous literature. It
578 may be that soils low in SOC contained lower proportions of total macroaggregate C in cPOM,
579 representing a limited stock of C to be stabilized in microaggregates and on silt and clay
580 surfaces. In this case, a decrease in macroaggregate turnover would result in less change in total
581 SOC than in higher SOC soils, which generally had larger proportions of macroaggregate C as
582 cPOM-C (SI Figure 5). In addition to providing grounds for theorizing about how mechanisms of
583 SOC accrual operate differently between soils, these compiled data show that the benefits
584 associated with each unit increase in SOC for soil structure (e.g., macroaggregation) is greatest
585 in higher SOC soils.

586

587 Table 4. Effect of a 10 g increase in macroaggregate mass 100 g soil⁻¹ on macroaggregate mean
 588 residence time (MRT) or / formation rate and SOC concentrations. Formation rate is related to
 589 MRT by the equation (MRT = pool [macroaggregate mass] / formation rate). Sites are grouped
 590 according to SOC concentrations. We estimated standardized higher and lower values of
 591 macroaggregate mass (plus or minus 5 g macroaggregate 100 g soil from the estimated mean
 592 macroaggregate value) within each site. We then created corresponding values of SOC change
 593 between higher and lower macroaggregate masses using site-specific slopes generated from
 594 linear hierarchical models. The % change macroaggregate MRT or formation rate within sites is
 595 estimated by the ratio of macroaggregate masses for two soils of differing SOC concentrations.
 596 Numbers in parentheses represent standard errors, generated by variability in slopes among sites.

SOC group	Range of mean site-level SOC, g kg soil ⁻¹	Range of mean site-level macroaggregate mass, g 100 g soil ⁻¹	Given an increase in 10 g macroaggregate 100 g soil ⁻¹ within the same site	
			% change in macroaggregate MRT or formation rate	Increase in SOC, g kg soil ⁻¹
low	5.4 – 9.6	25.2 - 72.4	26.4 (5.3)	1.3 (0.4)
medium	10.1 – 16.1	7.3 - 76.6	53.5 (22.7)	1.9 (0.2)
high	16.4 – 55.1	22.5 - 94	21.4 (2.2)	3.6 (0.4)

597

598 *4.5 Implications of soil fraction relationships with SOC for models and indicators*

599 The study of soil fractions is connected to the search for sensitive indicators of soil change and to
 600 efforts to structure SOC models on measurable soil pools (Abramoff et al., 2017; Elliott et al.,
 601 1996; Robertson et al., 2019; Segoli et al., 2013; Stewart et al., 2008). Our review confirmed
 602 previous reports that total occluded microaggregate C shows consistent, positive relationships to
 603 total SOC (Six and Paustian, 2014). Our analysis also brought to light two caveats regarding an

604 exclusive reliance on the occluded microaggregates as an indicator: first, total macroaggregate C
605 showed more consistent relationships to SOC than did total occluded microaggregate C (R^2
606 0.85 vs 0.62; Table 1). As occluded microaggregate mass and C are constituents of
607 macroaggregates, any changes in occluded microaggregate C or mass are necessarily captured by
608 the macroaggregate fraction. We were not able to derive measures of variance around SOC
609 content or aggregate mass, so the question of whether macroaggregate or occluded
610 microaggregate are associated with different measurement variability remains open. Second,
611 occluded microaggregate C and mass as a percentage macroaggregate C or mass was not a
612 reliable indicator of SOC change, because C and mass occluded within macroaggregates was not
613 consistently distributed to either occluded microaggregates or cPOM with increases in SOC. Our
614 review provides support for further development of fraction/aggregate-based SOC models, and
615 for attention to be given to enacting nested processes of macroaggregate C occlusion and C
616 stabilization. Given the data available for this review, our findings are most relevant to the top
617 ~30 cm of soil, with uncertain application to deep SOC, and may have limited application to
618 permafrost-affected or peat soils. For topsoils at moderate latitudes, equipping SOC models with
619 the ability to simulate macroaggregate dynamics may provide a path forward for efforts to
620 simulate SOC dynamics.

621 **5. Conclusions**

622 We present the first quantitative review of macroaggregate-occluded fractions and their relation
623 to SOC concentration. Consistent with previous understandings, a redistribution of soil mass
624 toward macroaggregates is associated with an increase in SOC concentration. Mechanisms
625 linking increased macroaggregate mass (and reduced macroaggregate turnover) appear
626 attributable in part to the role of macroaggregates in increasing C stabilization and/or protection

627 in occluded microaggregates and silt + clay. Dynamics of silt + clay accumulation with SOC
628 between sites did not indicate that the silt + clay fraction was displaying a strict upper limit of C
629 storage capacity, despite the inclusion of observations with SOC concentrations > 60 g SOC kg
630 soil⁻¹. Our review revealed consistent proportions of SOC accumulation occurred in C
631 macroaggregates and occluded microaggregates. These relationships have potential to be
632 leveraged as benchmarks for fraction-based SOC models and invite an improved understanding
633 of mechanisms governing soil macroaggregate formation and disruption.

634 **Acknowledgements**

635 This work was funded by the Natural Sciences and Engineering Research Council of Canada,
636 Discovery and Strategic Grants programs. Constructive feedback from anonymous reviewers
637 helped to improve this article.

638 **Figure captions**

639 Figure 1. Soil fractionation scheme common to studies included in the review. Light gray
640 fractions are non-occluded, while dark gray fractions are occluded (within macroaggregates).
641 Size classes following Elliott (1986) and Six et al. (2000a).

642 Figure 2. All observations for the relationship between total SOC (g kg soil⁻¹) and total fraction
643 C (g fraction C kg soil⁻¹) for six soil fractions. Lines represent individual site-level regressions
644 generated by linear hierarchical models. All data points are represented by a filled gray circle
645 (symbols appear darker are due to overlap in data points), and global slopes are reported in Table
646 1.

647 Figure 3. All observations for the relationship between aggregate C concentrations (g aggregate
648 C kg aggregate⁻¹) and total SOC (g kg soil⁻¹) for six aggregate fractions. Lines represent
649 individual site-level regressions generated by linear hierarchical models. All data points are
650 represented by a filled gray circle (symbols appear darker are due to overlap in data points).

651 Figure 4. All observations for the relationship between aggregate mass (g aggregate 100 g soil⁻¹)
652 and total SOC (g kg soil⁻¹) for six aggregate fractions. Lines represent individual site-level
653 regressions generated by linear hierarchical models. All data points are represented by a filled
654 gray circle (symbols appear darker are due to overlap in data points).

655 Figure 5. All observations for the relationships: **A**) total microaggregate mass (g free
656 microaggregate + occluded microaggregate 100 g soil⁻¹) and macroaggregate mass, $y = 0.06x +$
657 55.0 , $R^2M = 0.01$, $CI = -0.11, 0.24$; **B**) SOC g kg soil⁻¹ and total microaggregate mass, $y = 0.08x$
658 $+ 15.5$, $R^2M = 0.01$, $CI = -0.04, 0.20$ and **C**) SOC and the percent total microaggregate occluded
659 in macroaggregates, $y = 0.21x + 10.7$, $R^2M = 0.14$, $CI = 0.14, 0.28$. Lines represent individual
660 site-level regressions generated by linear hierarchical models. All data points are represented by
661 a filled gray circle (symbols appear darker are due to overlap in data points).

662 Figure 6. Relative concentrations of occluded and free **(A)** silt and clay and **(B)** microaggregates.
663 Solid black lines represent 1:1 lines. Dashed gray lines represent linear regression through all
664 points. Regression coefficients: **(A)** $y = 1.29x + 0.47$, $R^2 = 0.68$; **(B)** $y = 1.2x + 1.6$; $R^2 = 0.93$.
665 The total C supplied by the enrichment of these fractions was moderate on a whole soil basis,
666 representing 2.1% of total SOC from occluded silt + clay and 6.7% of total SOC from occluded
667 microaggregates on average.

668 Figure 7. Mass of macroaggregate per 100 g soil as related to **(A)** mean annual precipitation
669 (MAP) and **(B)** percent clay. Only observations from the top layer of soil reported. Linear
670 regressions represent: **(A)** regression through all points (solid line, $y = 0.02x + 34.9$, $p < 0.0001$,
671 $R^2 = 0.16$); regression through all points excluding $\text{MAP} > 3,000$ (dot-dash line, $y = 0.02x +$
672 32.9 , $p < 0.0001$, $R^2 = 0.13$); boundary-line analysis, excluding $\text{MAP} > 3,000$ (dotted line, $y =$
673 $0.05x - 11.8$, $p < 0.0001$, $R^2 = 0.89$). **(B)** Regression through all points ($y = 0.27x + 45.6$, $p =$
674 0.01 , $R^2 = 0.03$).

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678 **References**

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ACCEPTED MANUSCRIPT

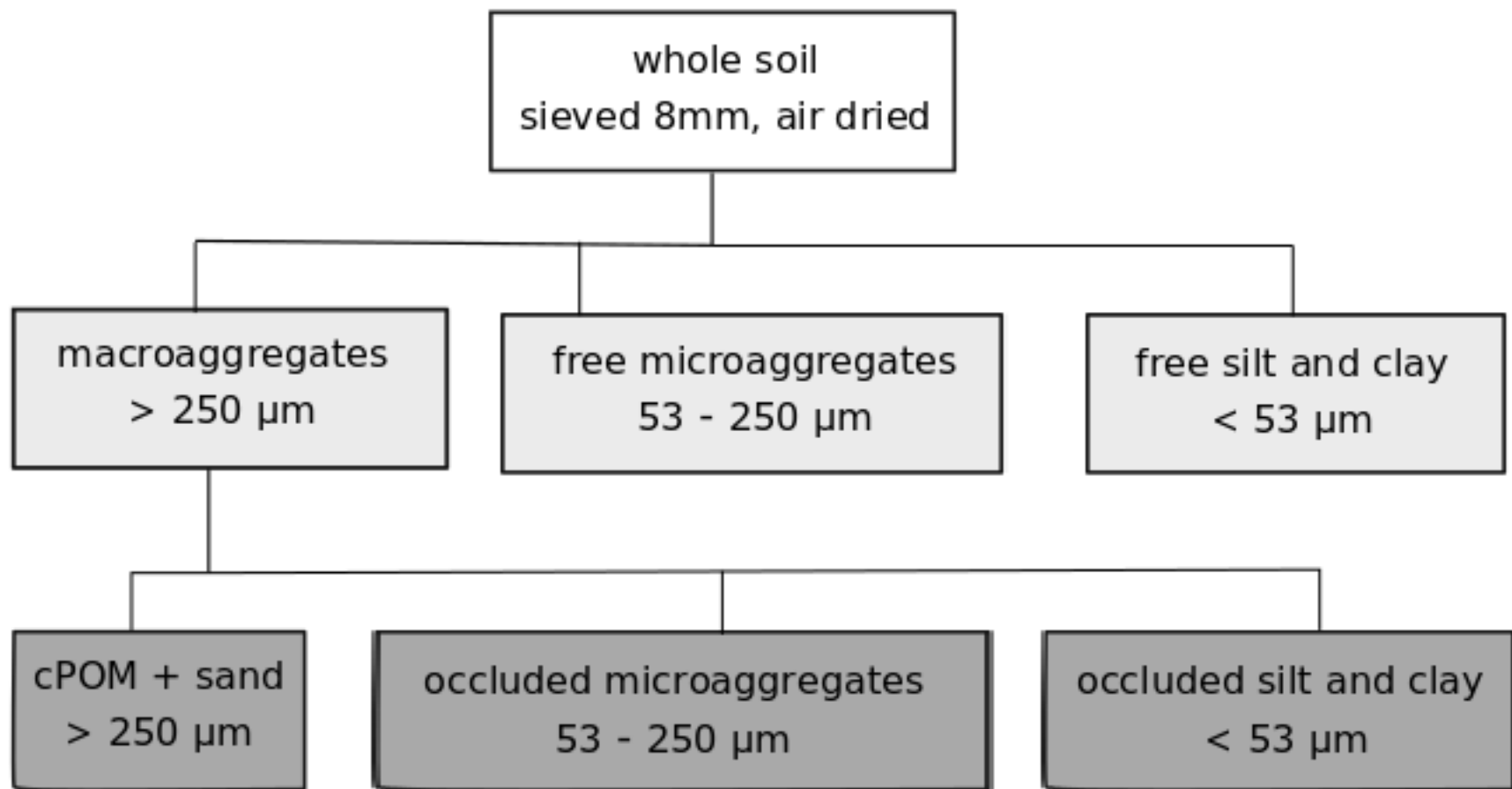


Figure 2

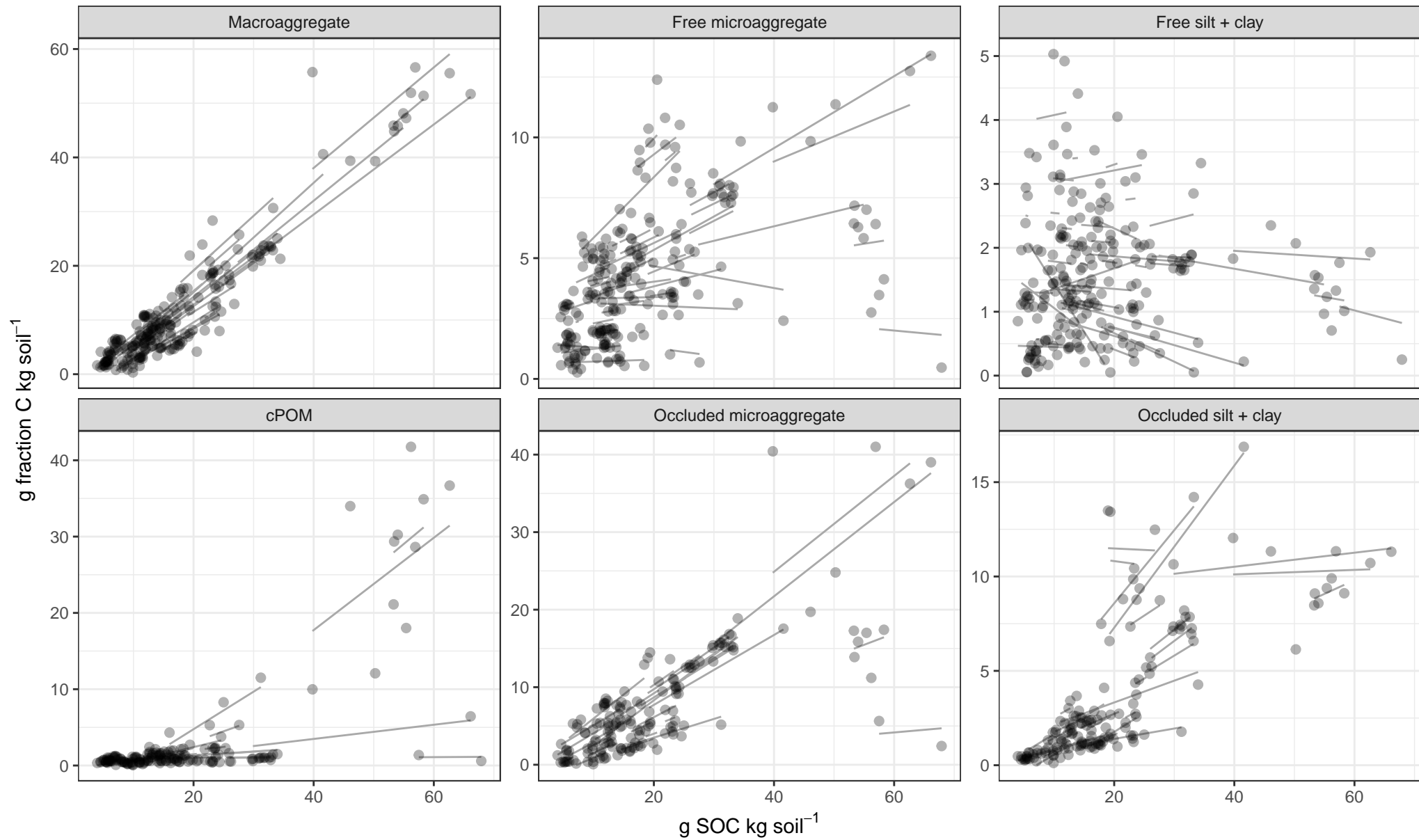


Figure 3

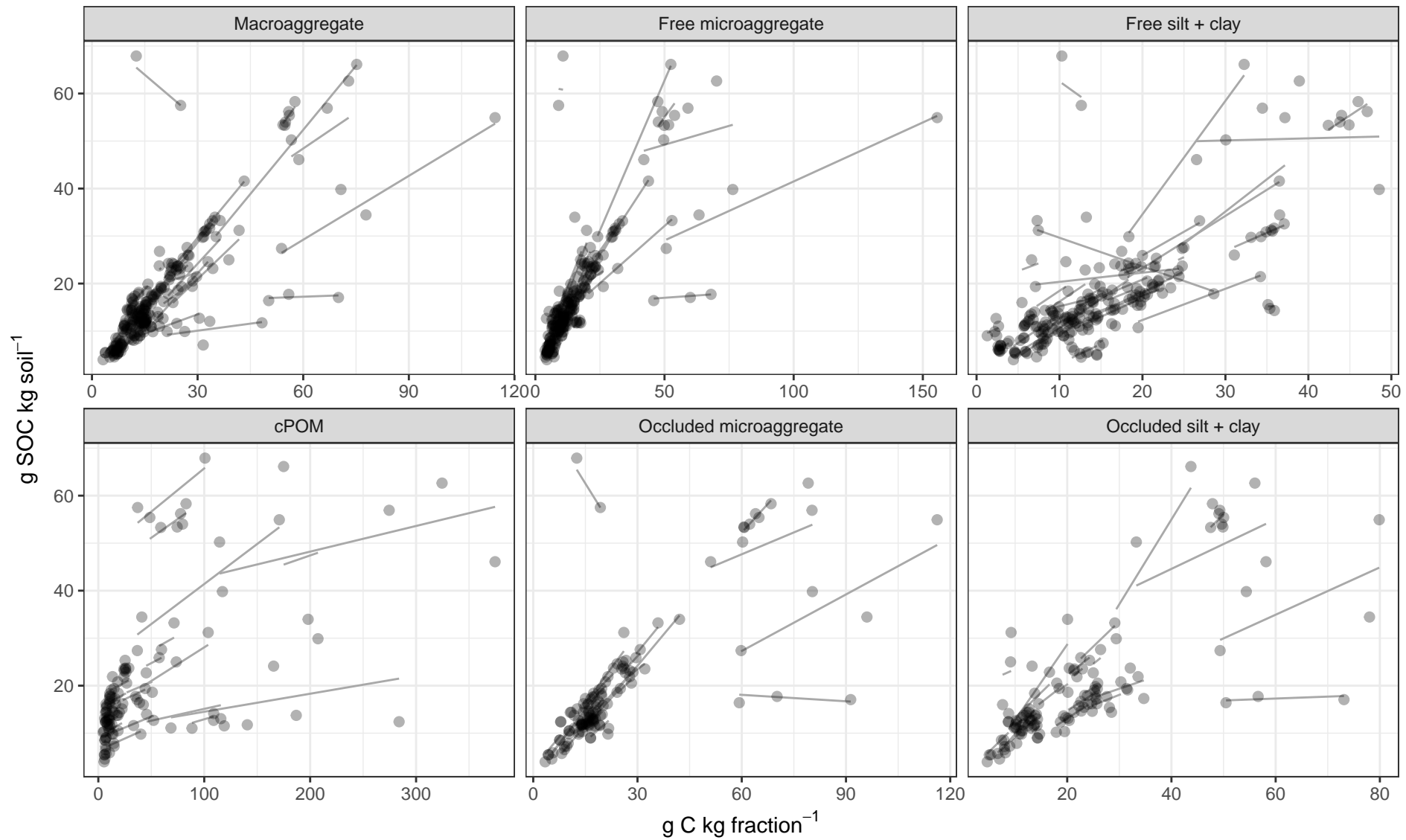
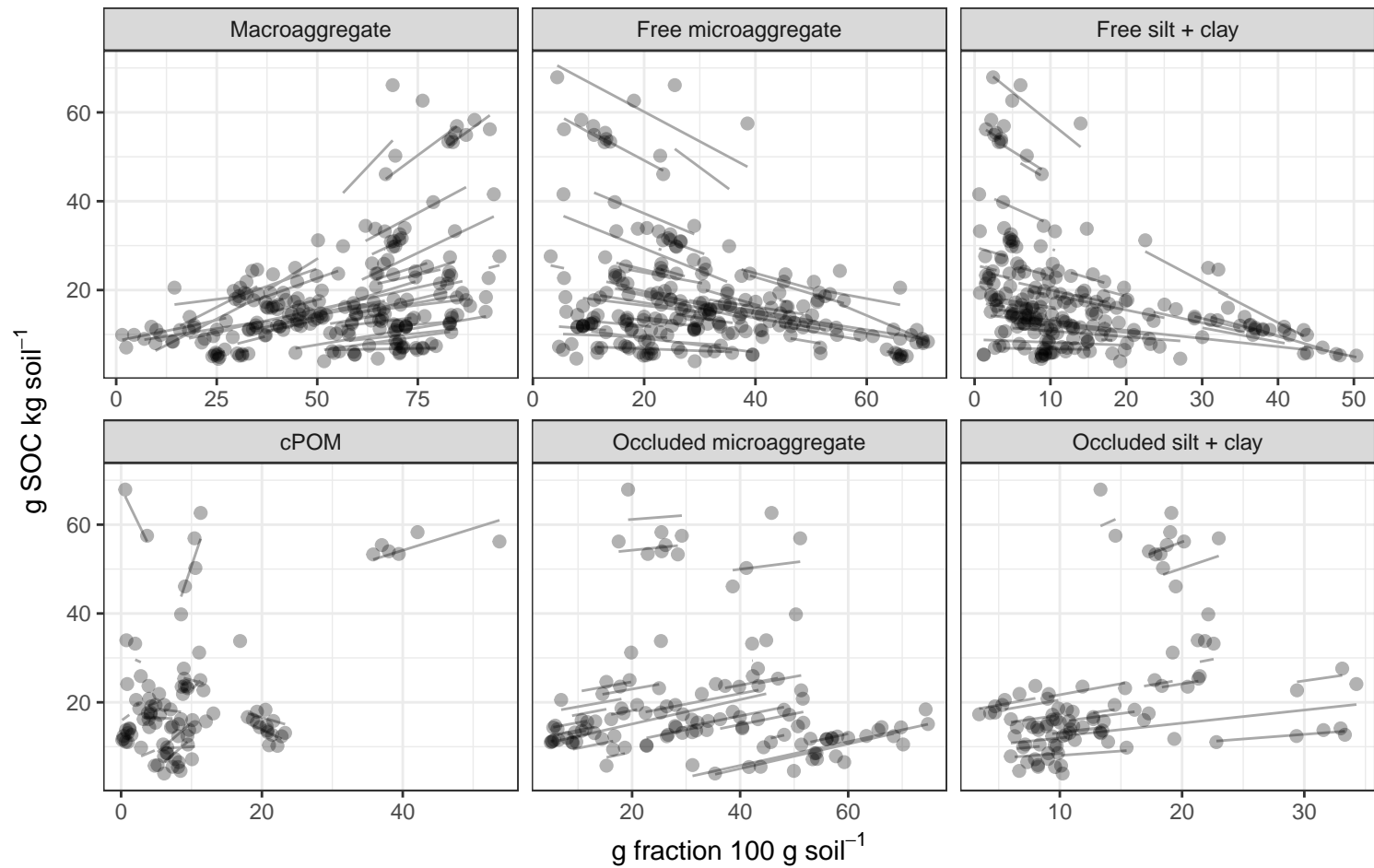
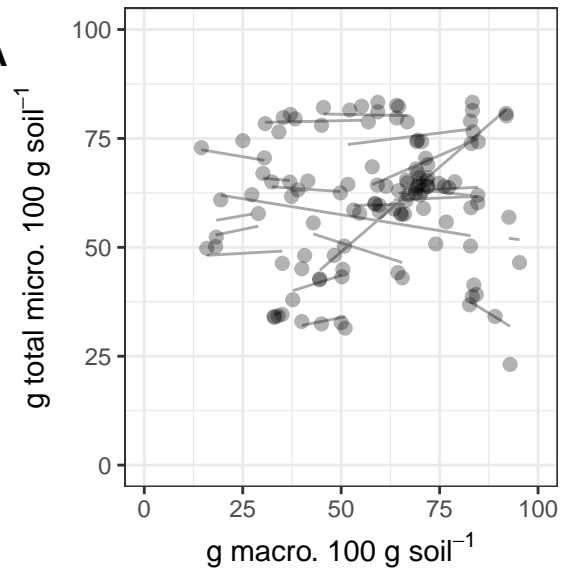
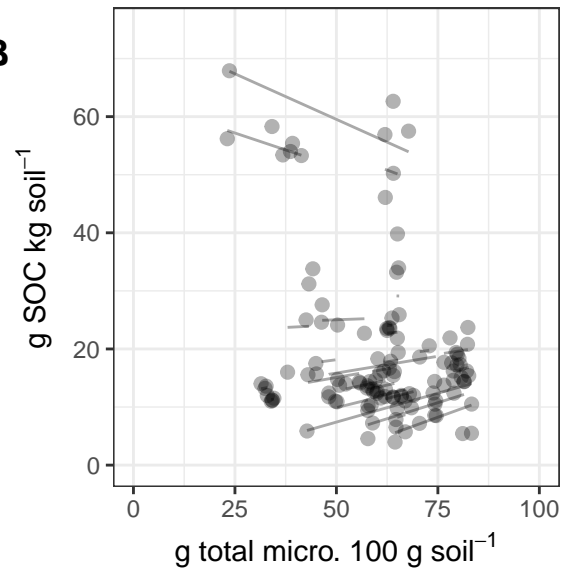
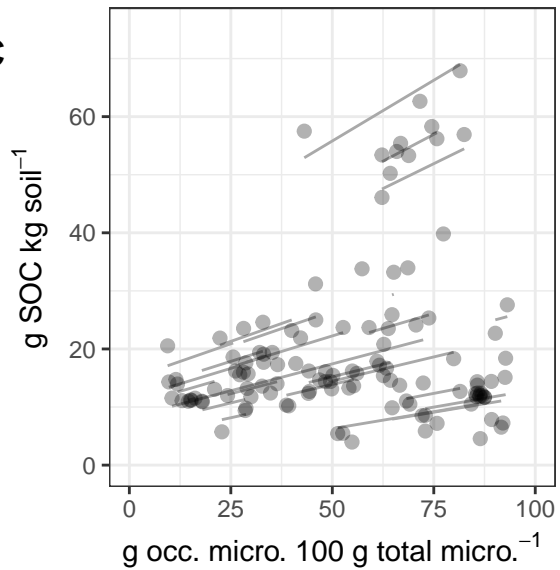
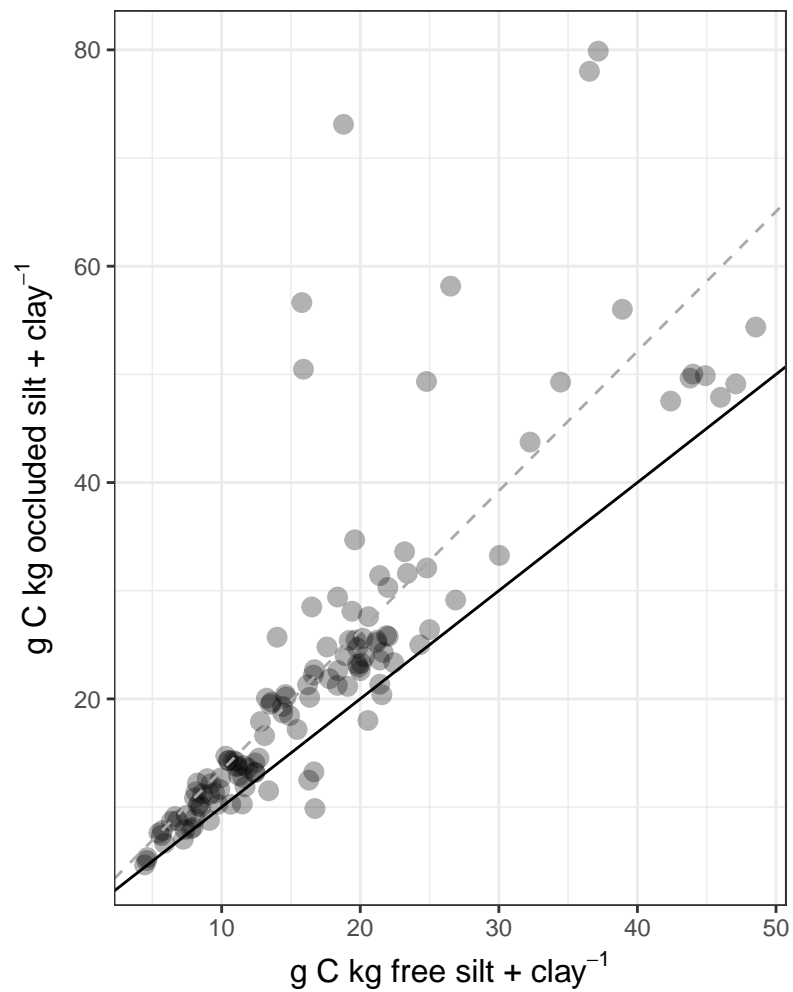
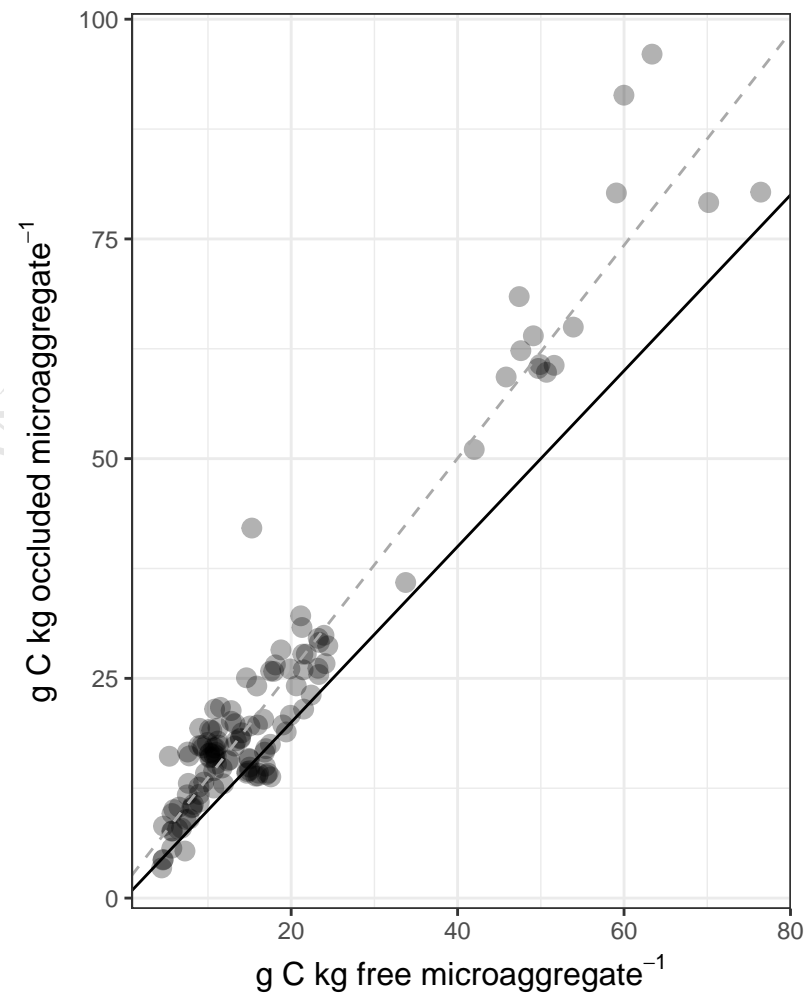
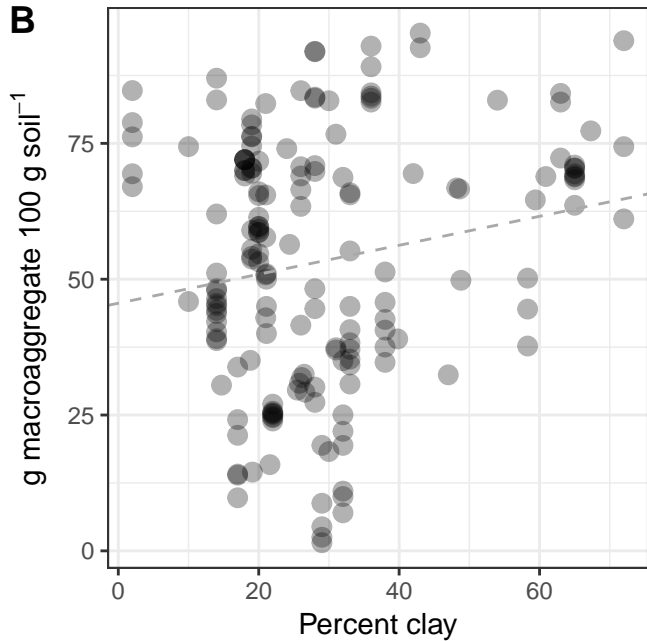
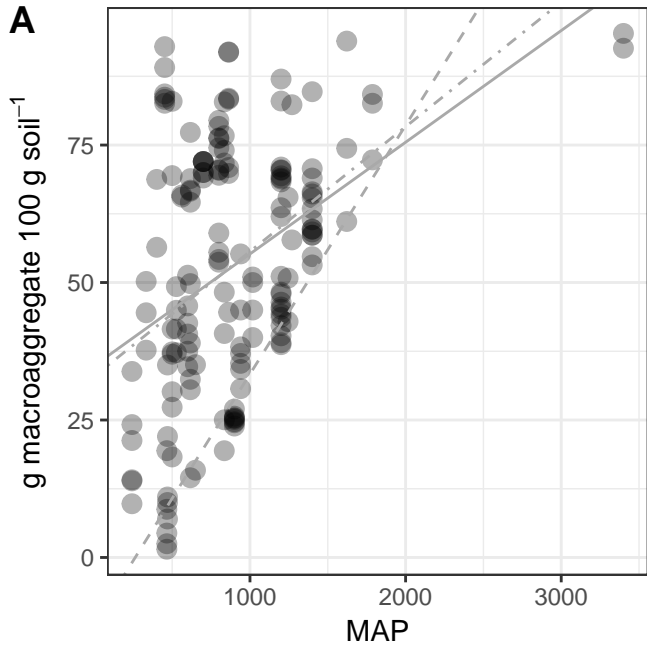


Figure 4



A**B****C**

A**B**



- We quantitatively reviewed 41 studies reporting macroaggregate-occluded fractions
- SOC increase was associated with gains in macroaggregate mass
- Macroaggregate-occlusion increased C concentration of microaggregates, silt + clay
- Macroaggregates may enable stabilization of POM to microaggregates, silt + clay
- 83% of SOC accumulated in macroaggregates; 43% occurred in occluded microaggregates